

# Nuclear density functional theory from low energy constants: application to cold atoms and neutron matter

**Denis Lacroix**

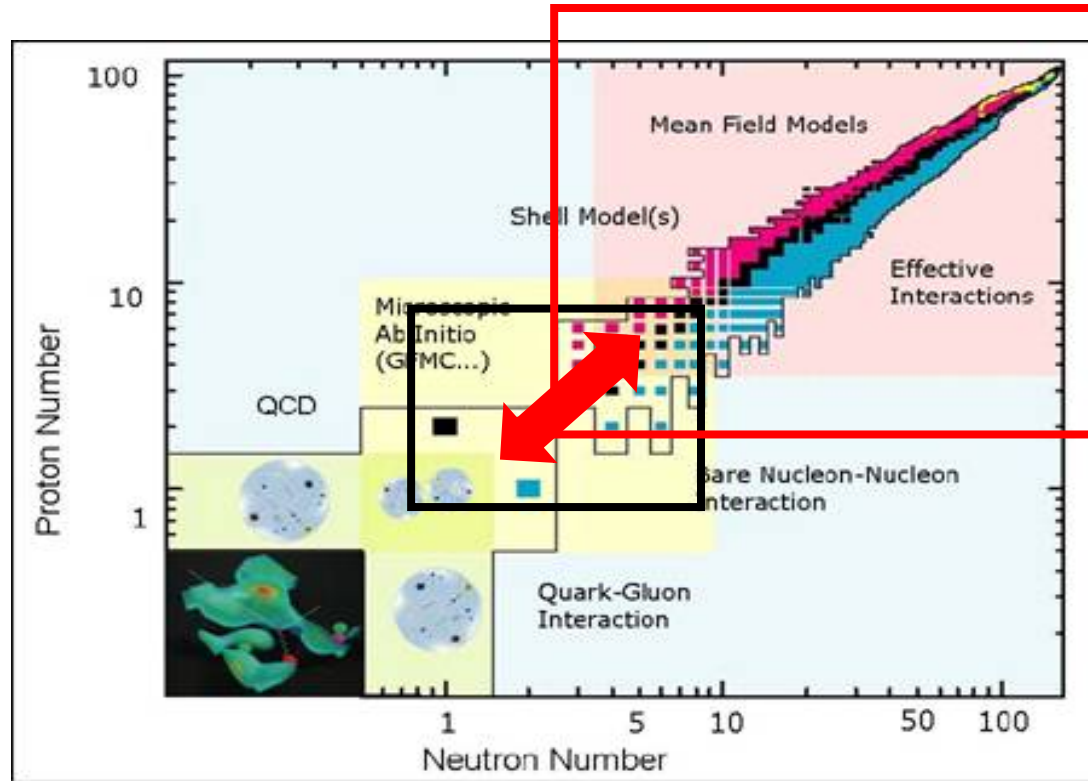


## Outline:

- Brief historical overview of DFT for nuclei
- EFT guiding the construction of DFT/EDF
- Illustration: the YGLO functional
- Unitary gas guidance: role of large but finite  $s$ -wave scattering length
- Applications: equation of states, collective modes.

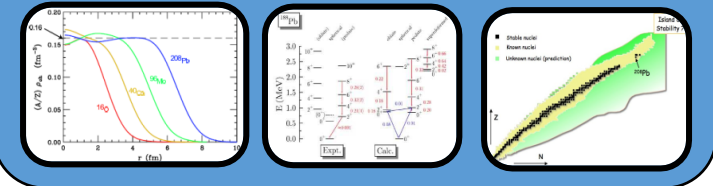
Coll: J. Bonnard, A. Boulet, M. Grasso and C.J. Yang

# So why we need to do something else?

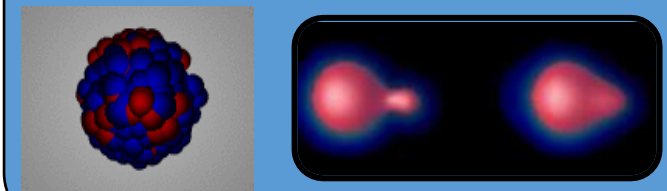


But we want to do a little bit more...

## GROUND STATE-STRUCTURE OF THE ATOMIC NUCLEUS

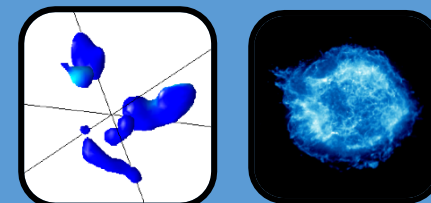


## SMALL AND LARGE AMPLITUDE DYNAMICS



## Nuclear Thermodynamic

(from finite or infinite systems)

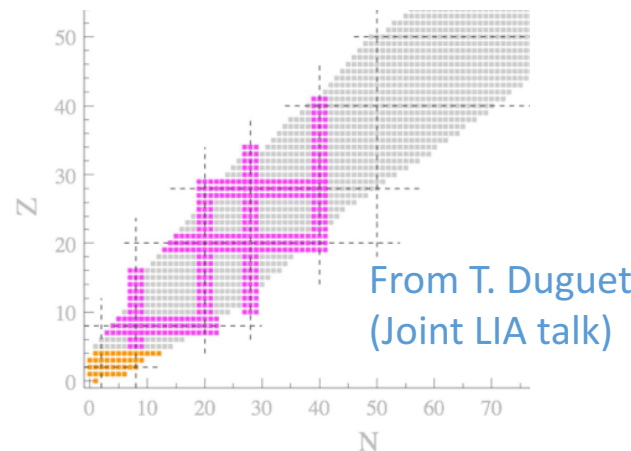


State of the art  
ab-initio calculation  
in 2016

New generation  
Ex: pionless EFT

- LO : 2 parameters
- NLO + 7 parameters
- N<sup>3</sup>LO + 15 parameters
- N<sup>5</sup>LO + 26 parameters

....



From T. Duguet  
(Joint LIA talk)

# Nuclear Energy Density Functional based on effective interaction

## Constraining the functional

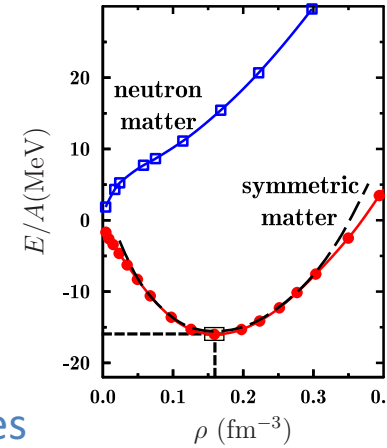
See for instance, Meyer EJC1997

## Infinite nuclear matter and Nuclear Masses

Vautherin, Brink, PRC (1972)

Static

$$\begin{aligned}
 v(\mathbf{r}_1 - \mathbf{r}_2) &= t_0 (1 + x_0 \hat{P}_\sigma) \delta(\mathbf{r}) \\
 &+ \frac{1}{2} t_1 (1 + x_1 \hat{P}_\sigma) [\mathbf{P}'^2 \delta(\mathbf{r}) + \delta(\mathbf{r}) \mathbf{P}^2] \\
 &+ t_2 (1 + x_2 \hat{P}_\sigma) \mathbf{P}' \cdot \delta(\mathbf{r}) \mathbf{P} \\
 &+ iW_0 \sigma \cdot [\mathbf{P}' \times \delta(\mathbf{r}) \mathbf{P}] \\
 &+ \frac{1}{6} t_3 (1 + x_3 \hat{P}_\sigma) \rho^\alpha(\mathbf{R}) \delta(\mathbf{r})
 \end{aligned}$$



$$E_0, \left. \frac{\partial E}{\partial \rho} \right|_{\rho_0}, \left. \frac{\partial^2 E}{\partial \rho^2} \right|_{\rho_0}$$

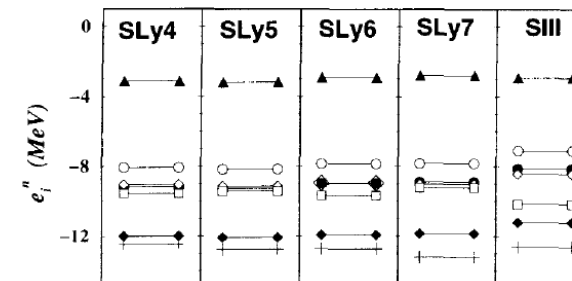
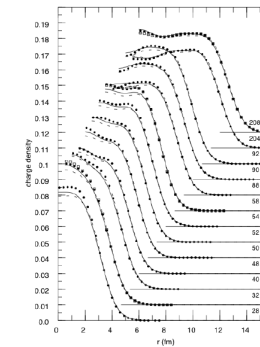
The  $\rho^\alpha$  term  
Is a trick to get  
the curvature right!

Densities

Shell effect

Dynamics

Time (fm/c)



Chabanat et al, NPA (1998)

1000

2000

3000

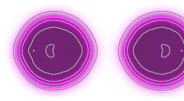
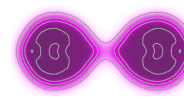
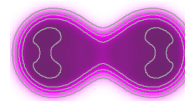
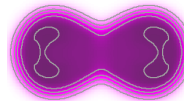
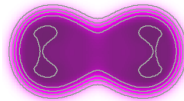
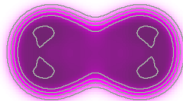
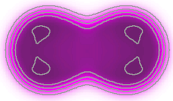
4000

5000

5300

5500

5600



Scamps, Simenel, Lacroix, PRC 92 (2015)

Tanimura, Lacroix, Scamps, PRC 92 (2015)

# Nuclear Energy Density Functional based on effective interaction

*Limitation and drawback*

$$\begin{aligned}
 v(\mathbf{r}_1 - \mathbf{r}_2) &= t_0 (1 + x_0 \hat{P}_\sigma) \delta(\mathbf{r}) \\
 &+ \frac{1}{2} t_1 (1 + x_1 \hat{P}_\sigma) [\mathbf{P}'^2 \delta(\mathbf{r}) + \delta(\mathbf{r}) \mathbf{P}'^2] \\
 &+ t_2 (1 + x_2 \hat{P}_\sigma) \mathbf{P}' \cdot \delta(\mathbf{r}) \mathbf{P}' \\
 &+ iW_0 \sigma \cdot [\mathbf{P}' \times \delta(\mathbf{r}) \mathbf{P}'] \\
 &+ \frac{1}{6} t_3 (1 + x_3 \hat{P}_\sigma) \rho^\alpha(\mathbf{R}) \delta(\mathbf{r})
 \end{aligned}$$

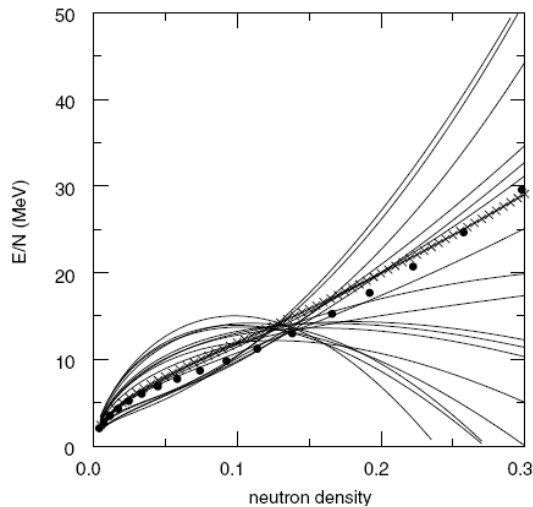
Since we directly fit on experiments  
Complex correlation much beyond  
Hartree-Fock are included



Since we directly fit on experiments  
there is no more link with the  
interaction and associated low  
energy constants...

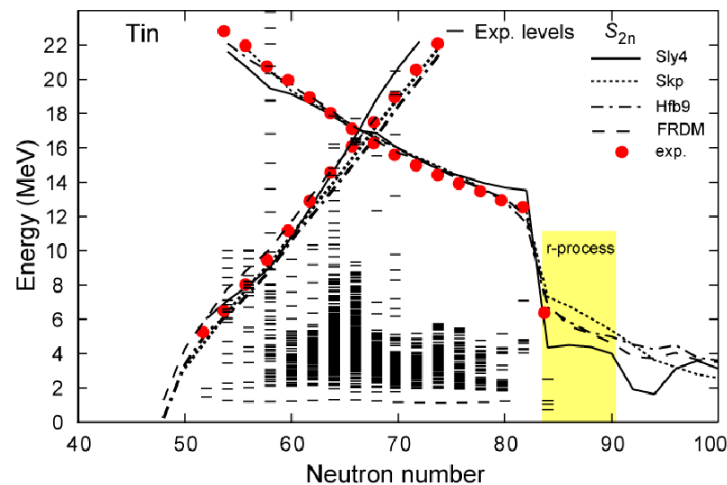


## EOS of pure neutron matter



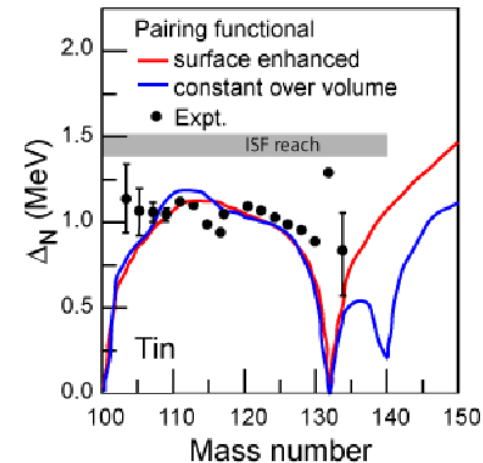
Brown, PRL85 (2000).

## $S_{2n}$ and $S_{2p}$ in Tin isotopic chain



<http://www.nsl.msu.edu/future/isf>

## Pairing gap



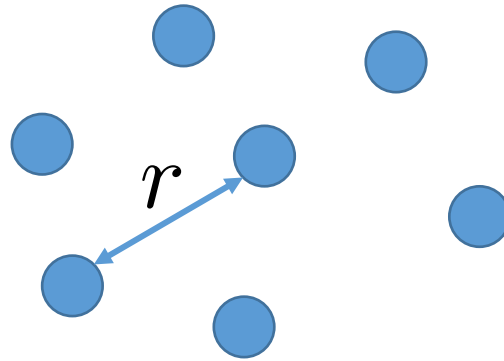
Can we link the energy density functional to the low energy constants of the bare interaction?  
and render it less empirical?

# Towards a constructive approach for DFT

## The low-density Fermi gas limit: the EFT guidance

See for instance: R. J. Furnstahl, in *Renormalization Group and Effective Field Theory Approaches to Many-Body Systems*, edited by A. Schwenk and J. Polonyi, Lecture Notes in Physics, Vol. 852 (Springer, Berlin, 2012), Chap. 3.

EFT strategy



At low density  $r$  is large

$$\Delta r \Delta k \sim 1$$

→ We only need a low-momentum expansion  
Of the interaction

$$\langle \mathbf{k} | V_{\text{eff}} | \mathbf{k}' \rangle = C_0 + \frac{1}{2} C_2 (\mathbf{k}^2 + \mathbf{k}'^2) + C'_2 \mathbf{k} \cdot \mathbf{k}' + \dots$$

Example of the s-wave

$C_0, C_2, C'_2$  are directly linked to low energy constant

$$\sigma = \frac{4\pi}{k^2} \frac{1}{1 + \cot^2 \delta_0} = \frac{4\pi a^2}{ak^2 + [1 - ar_{\text{ef}} k^2 / 2]^2}$$

$$C_0 = \frac{4\pi \hbar^2}{m} a_s, \quad C_2 = \frac{2\pi \hbar^2}{m} r_e a_s^2, \quad C'_2 = \frac{4\pi \hbar^2}{m} a_p^3.$$

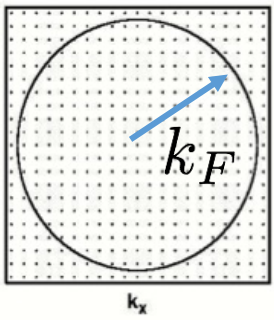
Constructive many-body perturbative approach

$$E = E^{\text{HF}} + E^{2^{\text{nd}}} + E^{3^{\text{rd}}} + \dots$$

H.W. Hammer and R.J. Furnstahl, NPA678 (2000)

→ Leads to the Lee-Yang functional (valid at low density) with possible higher order term

# The Lee-Yang density functional theory (infinite system)



$$\frac{E}{E_{\text{FG}}} = 1 + \frac{E^{(1)}}{E_{\text{FG}}} + \frac{E^{(2)}}{E_{\text{FG}}} + \dots \text{ with } \frac{E_{\text{FG}}}{N} = \frac{3\hbar^2 k_F^2}{10m}$$

## Hartree-Fock contribution

$$\frac{E^{(1)}}{E_{\text{FG}}} = \frac{10}{9\pi}(\nu - 1)(k_F a_s) + (\nu - 1)\frac{1}{6\pi}(k_F r_e)(k_F a_s)^2 + (\nu + 1)\frac{1}{3\pi}(k_F a_p)^3 + \dots$$

## Second order

$$\frac{E^{(2)}}{E_{\text{FG}}} = (\nu - 1)\frac{4}{21\pi^2}(11 - 2\ln 2)(k_F a_s)^2 + \dots$$

$\nu$  Degeneracy and from  $\rho = \frac{\nu}{6\pi^2}k_F^2$

$$\rightarrow E \equiv \mathcal{E}(\rho)$$

Could be extended to any order with some efforts



The Taylor expansion valid for  $a_s k_F < 1$

For neutron matter  $a_s = -18.9$  fm  
 $r_e = 2.7$  fm



Valid for  $\rho < 10^{-6}$  fm<sup>-3</sup>

Lee Yang is valid here

## Side remark 1: on Skyrme interaction

$$v(\mathbf{r}_1 - \mathbf{r}_2) = t_0 (1 + x_0 \hat{P}_\sigma) \delta(\mathbf{r})$$

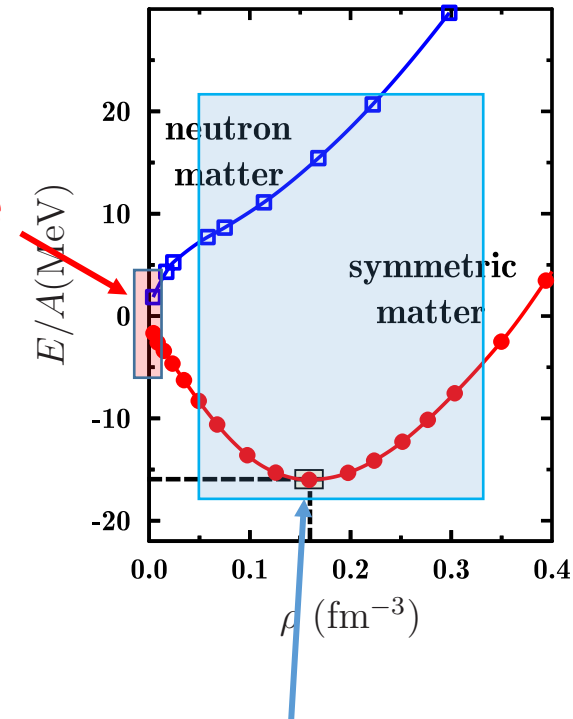
$$+ \frac{1}{2} t_1 (1 + x_1 \hat{P}_\sigma) [\mathbf{P}'^2 \delta(\mathbf{r}) + \delta(\mathbf{r}) \mathbf{P}^2]$$

$$+ t_2 (1 + x_2 \hat{P}_\sigma) \mathbf{P}' \cdot \delta(\mathbf{r}) \mathbf{P}$$

is equivalent to

$$\langle \mathbf{k} | V_{\text{eff}} | \mathbf{k}' \rangle = C_0 + \frac{1}{2} C_2 (\mathbf{k}^2 + \mathbf{k}'^2) + C_2' \mathbf{k} \cdot \mathbf{k}' + \dots$$

The analogy between Skyrme interaction and EFT has been recently used to provide a systematic constructive framework for EDF



But Skyrme works because it has been adjusted here !!!

# Additional remarks on traditional Skyrme

Lacroix, Boulet, Yang, Grasso, PRC94 (2016)

Due to the analogy, one can define equivalent low energy constant

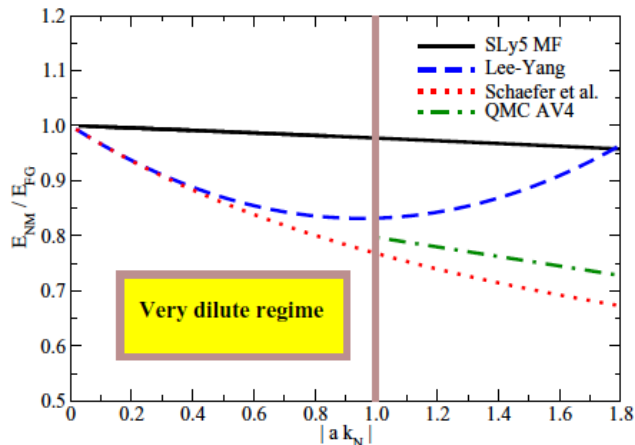
$$C_0 = t_0(1 - x_0) = v_0 - v_\sigma = \frac{4\pi\hbar^2}{m}a_s,$$

$$C_2 = t_1(1 - x_1) = -\frac{\mu^2}{2}(v_0 - v_\sigma) = \frac{2\pi\hbar^2}{m}r_e a_s^2,$$

$$C'_2 = t_2(1 + x_2) = \frac{\mu^2}{2}(v_0 + v_\sigma) = \frac{4\pi\hbar^2}{m}a_p^3.$$

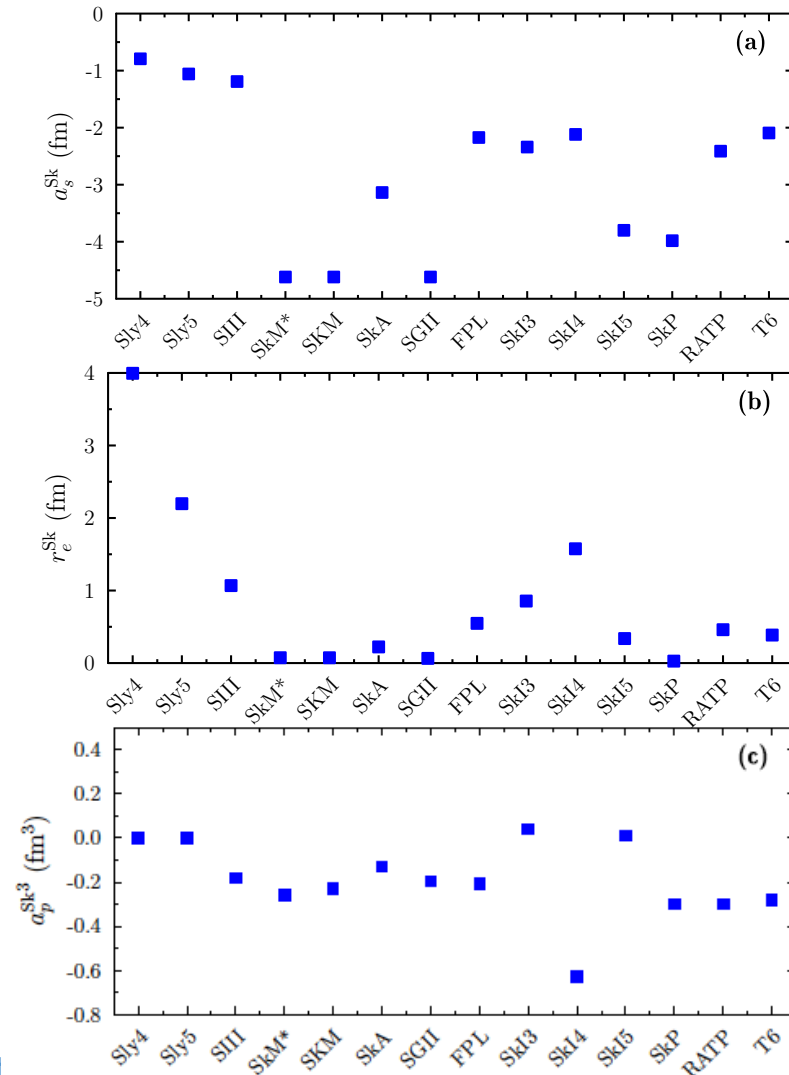
Consequence: failure of EDF at low density

Yang, Grasso, Lacroix PRC94 (2016)



Does it have any consequence?

Can we have EDF linked to low energy constant

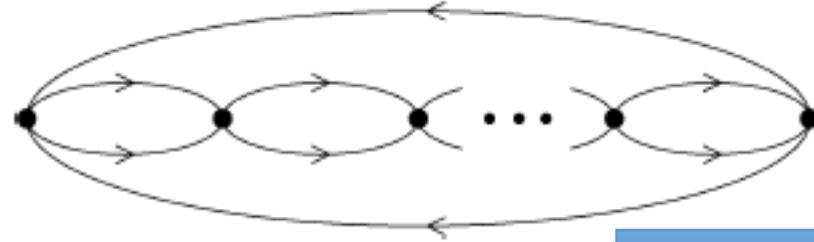


Very far from  $a_s = -18.9$  fm

Highlighting work

Schaefer, Kao, Cotanch, NPA 762 (2005)

Resummation of particle-particle ladder



$$\frac{E_{PP}}{A} = \frac{3(g-1)\pi^2}{k_F^3} \int \frac{d^3 P}{(2\pi)^3} \frac{d^3 k}{(2\pi)^3} \theta_k^- \frac{4\pi a/M}{1 - \frac{k_F a}{\pi} f_{PP}(\kappa, s)}$$

Contains terms to all order in  $(a_s k_F)$

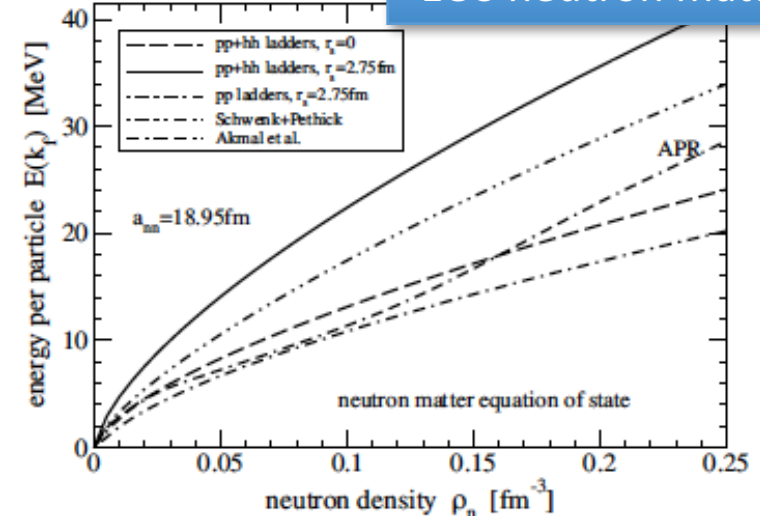
Results strongly depends on selected diagram

Our pragmatic approach

$$\frac{E}{E_{FG}} \simeq 1 + \frac{10}{9\pi} (\nu - 1) (k_F a_s) + (\nu - 1) \frac{4}{21\pi^2} (11 - 2 \ln 2) (k_F a_s)^2 + \dots$$

$$E \sim \frac{3}{5} \frac{\hbar^2 k_F^2}{2m} \frac{\frac{10}{9\pi} (a_s k_F)}{1 - \underbrace{\frac{6}{35\pi} (11 - 2 \ln 2)}_{=\langle f \rangle} (a_s k_F)}$$

EOS neutron matter



Kaiser, EPJA 48 (2012)

Interpretations:

- Minimal Padé approximation
- Phase-space average

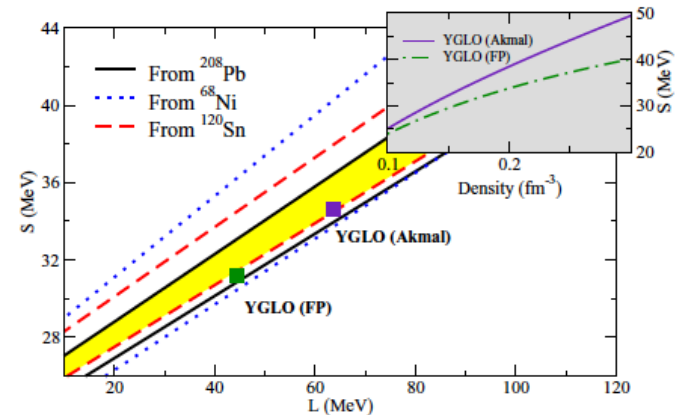
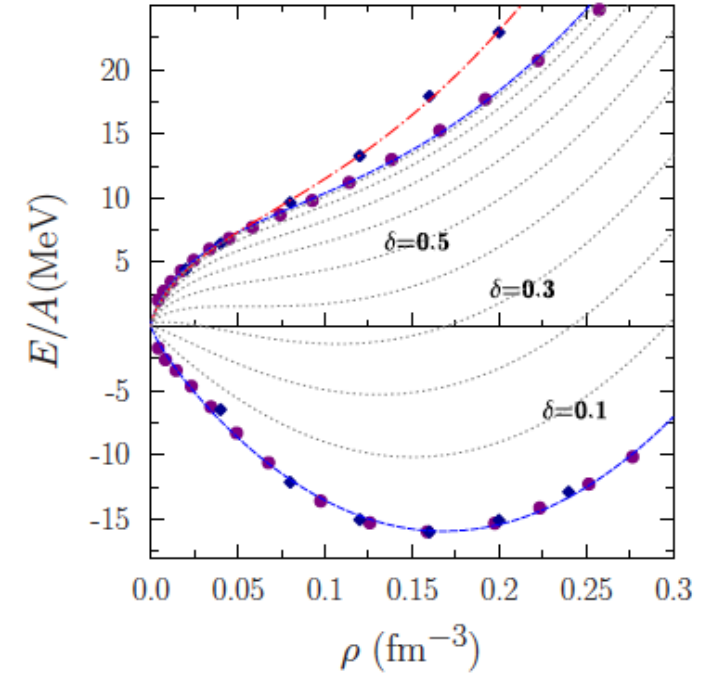
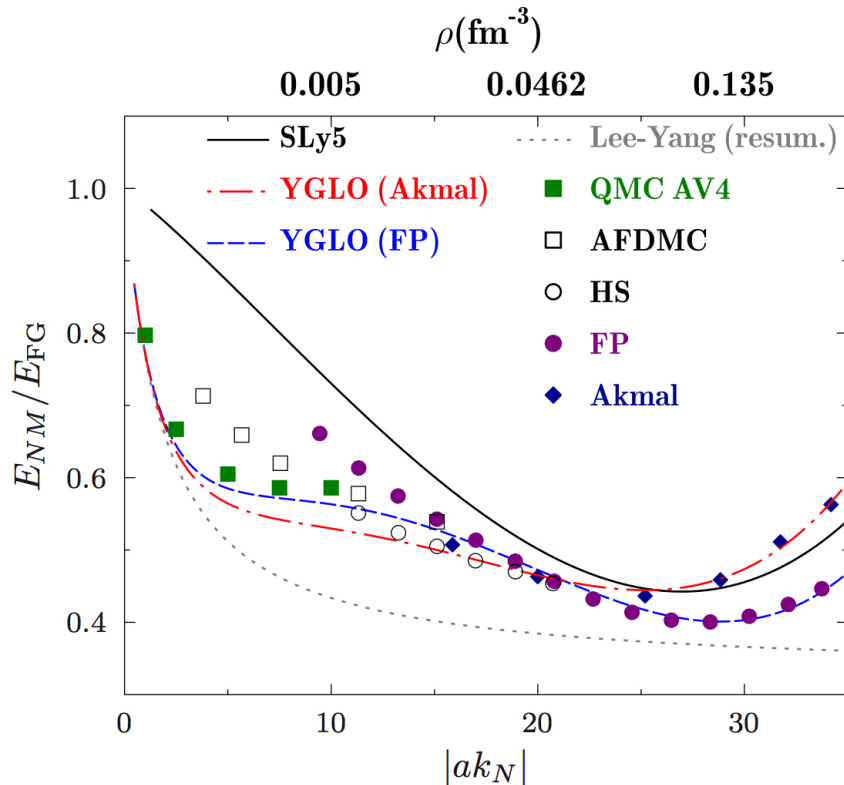
# First illustration of an EFT guided EDF: the YGLO\* functional

Yang, Grasso, Lacroix PRC94 (2016)

## Functional form

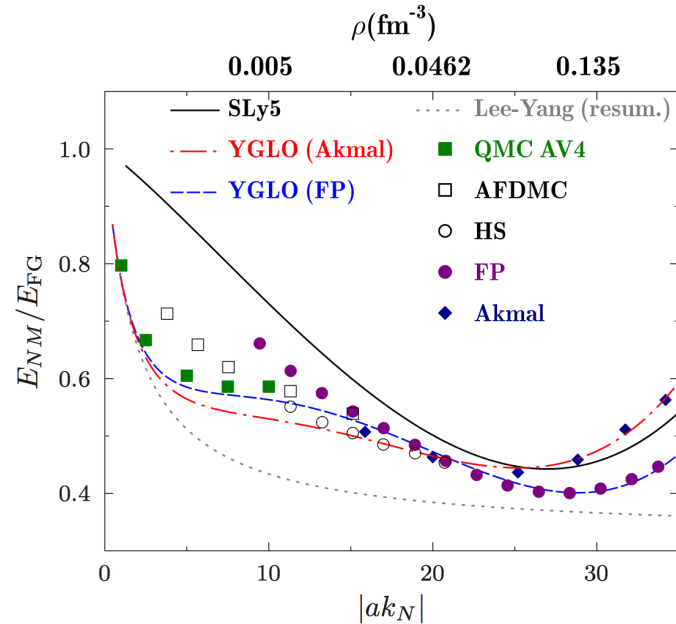
$$\frac{E}{A} = K_\beta + \frac{B_\beta \rho}{1 - R_\beta \rho^{1/3} + C_\beta \rho^{2/3}} + D_\beta \rho^{5/3} + F_\beta \rho^{\alpha+1}$$

$$B_\beta = 2\pi \frac{\hbar^2 (v-1)}{m v} a, \quad R_\beta = \frac{6}{35\pi} \left( \frac{6\pi^2}{v} \right)^{1/3} (11 - 2 \ln 2) a$$



\*YGLO: Yang-Grasso-Lacroix Orsay

## From the YGLO work



In neutron matter  $a_s$  is very large

Physics might be close to the unitary gas regime:

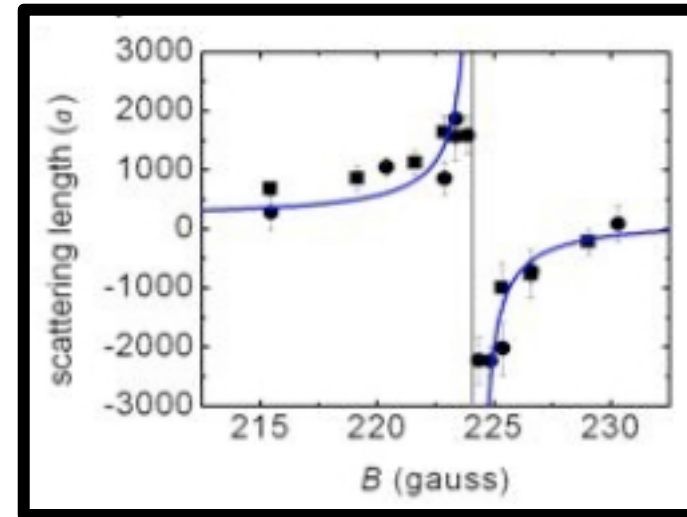
- low density system

- $a_s \rightarrow +\infty$

## Lee-Yang guided functional

Grasso, Lacroix, Yang, PRC95 (2017)

$$\frac{E}{N} = \frac{\hbar^2 k_F^2}{2m} \left[ \frac{3}{5} + \frac{2}{3\pi} (k_F a) + \frac{4}{35\pi^2} (11 - 2 \ln 2) (k_F a)^2 + \frac{1}{10\pi} (k_F r_s) (k_F a)^2 + 0.019 (k_F a)^3 \right],$$



Most important for us, it has the simplest DFT ever !

$$\mathcal{E}[\rho] = \xi \times \mathcal{E}_{FG}[\rho]$$

$\xi = 0.37$  Berstch parameter is universal

# Density Functional Theory for system at or close to unitarity

*A very pragmatic approach*

Minimal DFT for unitary gas

Lacroix, PRA 94 (2016)

$$\frac{E}{E_{\text{FG}}} = \left\{ 1 + \frac{(ak_F)A_0}{1 - A_1(ak_F)} \right\}$$

$$|a_s k_F| \ll 1$$

$$|a_s k_F| \gg 1$$

$$\frac{E}{E_{\text{FG}}} = 1 + \frac{10}{9\pi}(\nu - 1)(k_F a_s) + \frac{(\nu - 1)}{21\pi^2} \frac{4}{(11 - 2\ln 2)} (k_F a_s)^2 + \dots$$

Adjusting only on low density

$$A_0 = \frac{10}{9\pi}(\nu - 1)$$

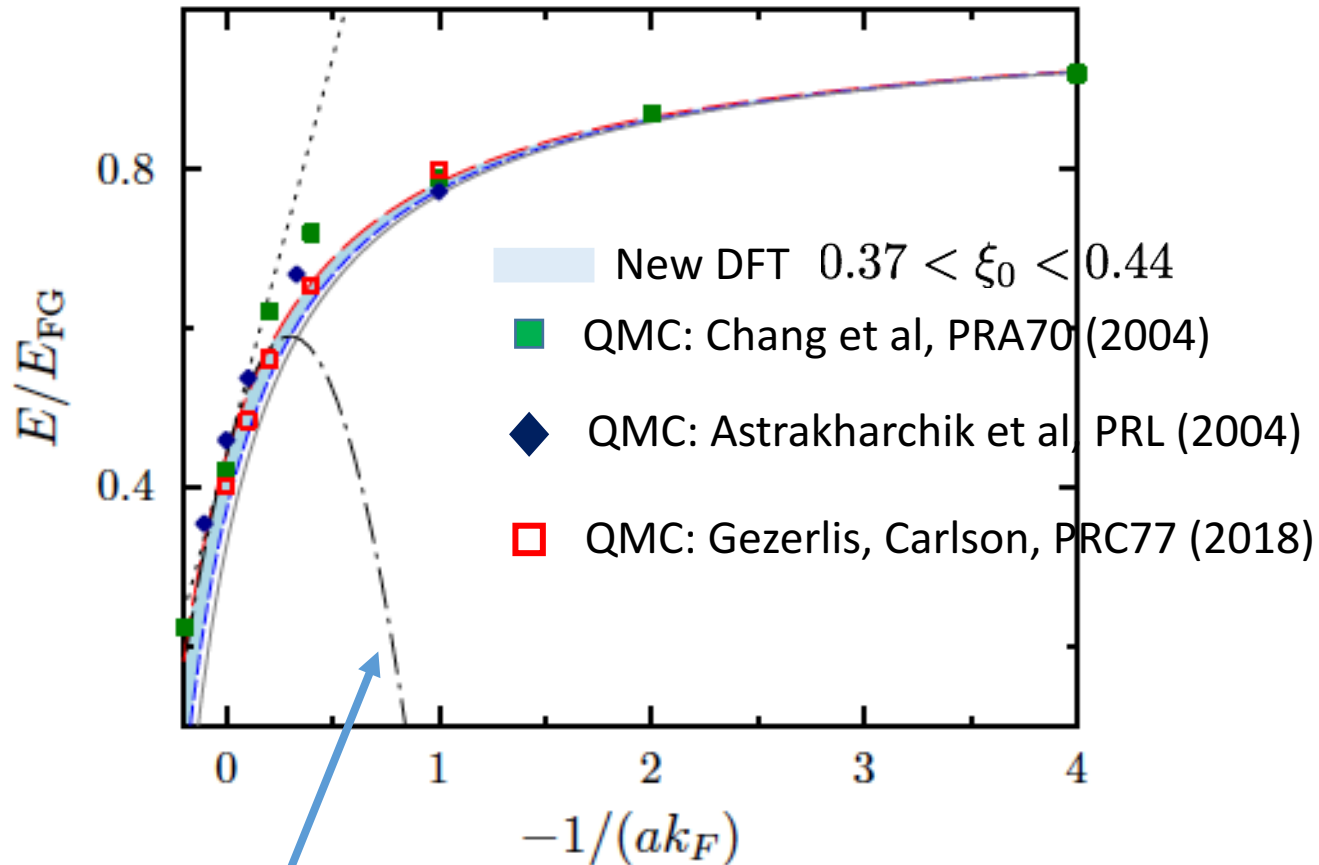
$$A_0 A_1 = (\nu - 1) \frac{4}{21\pi^2} (11 - 2\ln 2)$$

$$\frac{E}{E_{\text{FG}}} = \xi_0$$

Adding the unitarity constraint

$$A_0 = \frac{10}{9\pi}(\nu - 1)$$

$$1 - \frac{A_0}{A_1} = \xi_0$$



$$\frac{E}{E_{FG}} \simeq \xi_0 - \frac{\zeta}{(ak_F)} - \frac{5}{3} \frac{\nu}{(ak_F)^2} + \dots \quad \zeta \simeq \nu \simeq 1$$

$$\frac{E}{E_{\text{FG}}} = \mathcal{F}(a_s, k_F) \equiv \mathcal{F}(a_s, \rho) \quad \rightarrow$$

Any quantity that could be obtained through partial derivatives of the energy with respect to  $a_s$  or  $k_F$  or  $\rho$  is straightforward to obtain

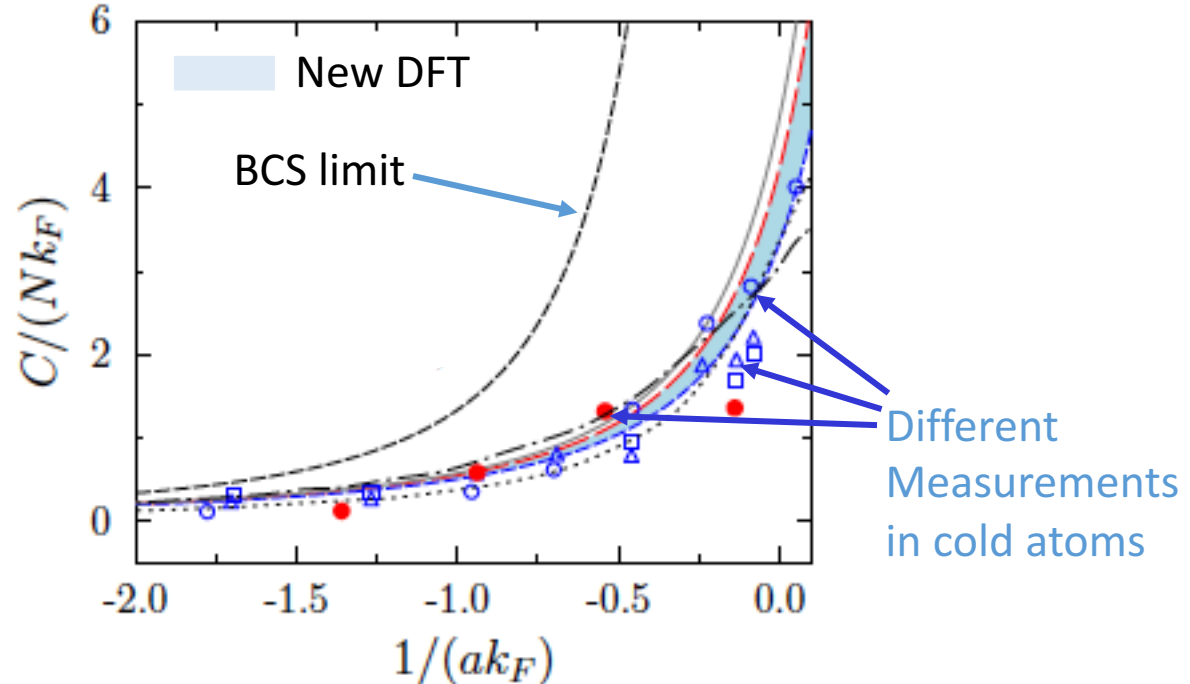
Estimate of the density dependence of the Tan contact parameter

E. Braaten, Lect. Not. Phys. 836 (2011).

$$\frac{C}{Nk_F} = \frac{(3\pi^2)}{k_F^4} c$$

$$c = \frac{4\pi m a_s^2}{\hbar^2} \left( \frac{d\mathcal{E}}{da_s} \right)$$

$$\mathcal{E} = \frac{k_F^3 E}{3\pi^2 N}$$



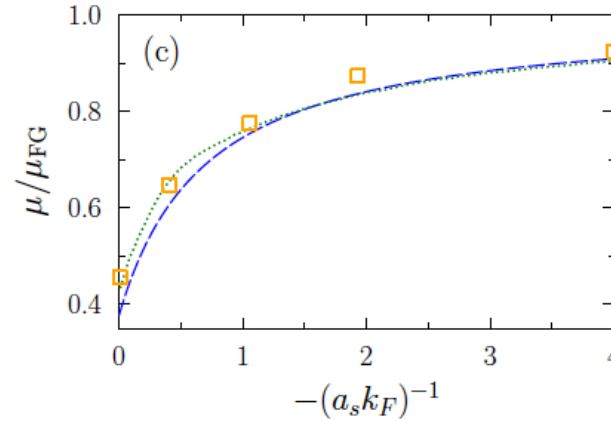
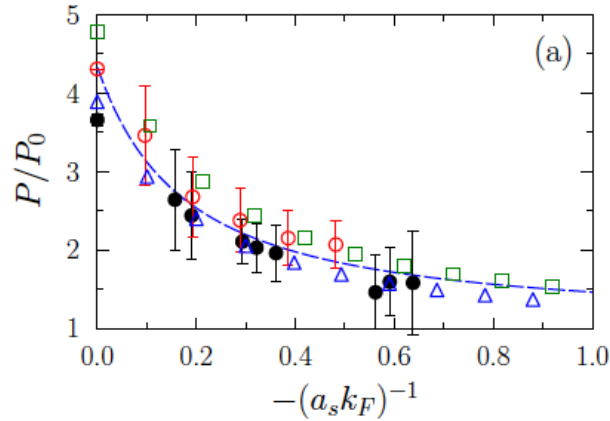
# Example of applications: thermodynamical quantities around unitarity

Boulet, Lacroix, submitted to PRC

Pressure

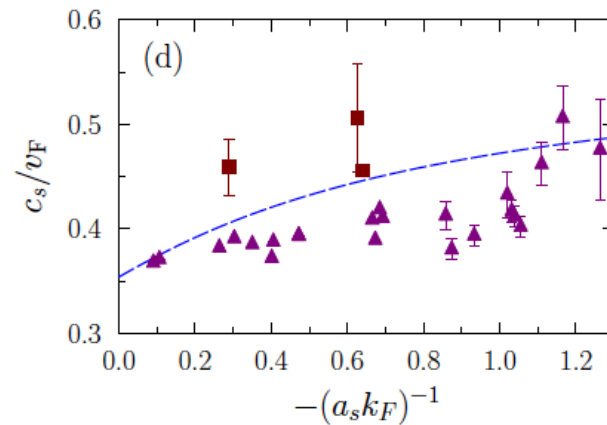
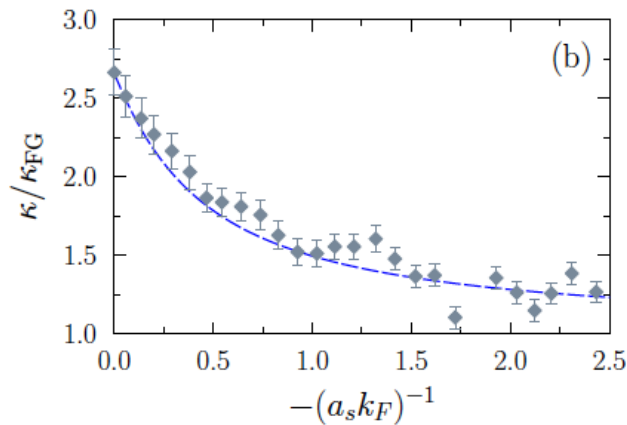
Chemical potential

$$P = \rho_n^2 \left. \frac{\partial E/N}{\partial \rho_n} \right|_N$$



$$\mu = \left. \frac{\partial E}{\partial N} \right|_V = \left. \frac{\partial \rho_n E/N}{\partial \rho_n} \right|_V$$

$$\kappa = \frac{1}{\rho_n} \left( \left. \frac{\partial P}{\partial \rho_n} \right|_N \right)^{-1}$$

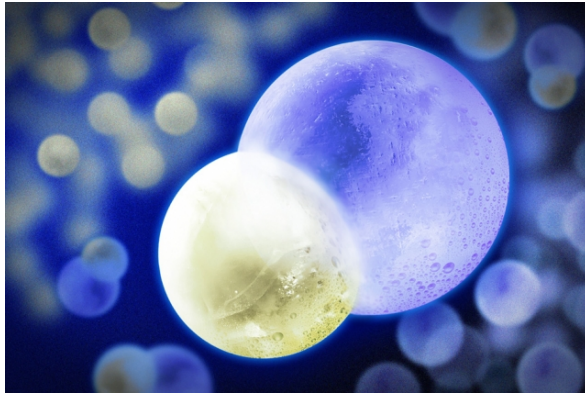


$$c_s^2 = \frac{1}{m \rho_n \kappa}$$

Compressibility

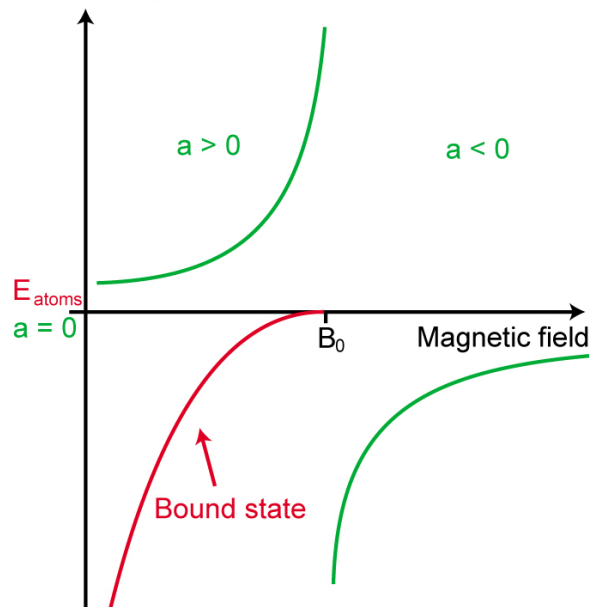
Sound velocity

# From cold atom to neutron matter

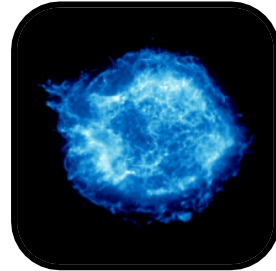
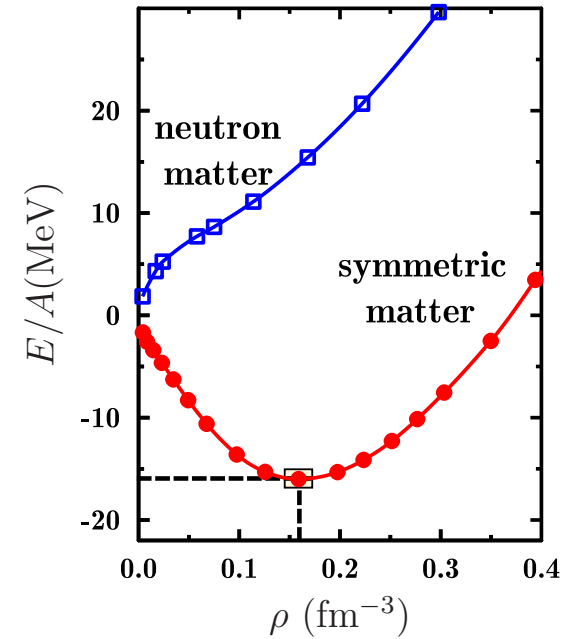


Scattering length  $a$

Energy



Most often, only  $a_s$  matter



$$a_s = -18.9 \text{ fm}$$

$$r_e = 2.7 \text{ fm}$$

$$a_p^3 = 0.2 - 0.6 \text{ fm}^3$$

There is a hierarchy of scales  $a_p \ll r_e \ll a_s$

but  $r_e, a_p \dots$  could not be neglected

and  $k_F$  is not small

# From cold atom to neutron matter: inclusion of effective range

Lacroix, PRA 94 (2016)

$$\frac{E}{E_{FG}} = 1 - \frac{U_0}{1 - (a_s k_F)^{-1} U_1}$$

$$+ \frac{R_0(r_e k_F)}{[1 - R_1(a_s k_F)^{-1}][1 - R_1(a_s k_F)^{-1} + R_2(r_e k_F)]}$$

Effective range part  
(form obtained by resumming  
effective range effects  
in HF theory)

New constraints

$$|a_s k_F| \ll 1$$

$$|a_s k_F| \gg 1$$

$$\frac{E}{E_{FG}} = 1 + \frac{10}{9\pi}(\nu - 1)(k_F a_s) + (\nu - 1)\frac{1}{6\pi}(k_F r_e)(k_F a_s)^2 + \dots$$

$$\xi(+\infty, r_e k_F) \equiv \xi_0 + (r_e k_F)\eta_e + (r_e k_F)^2 \delta_e$$

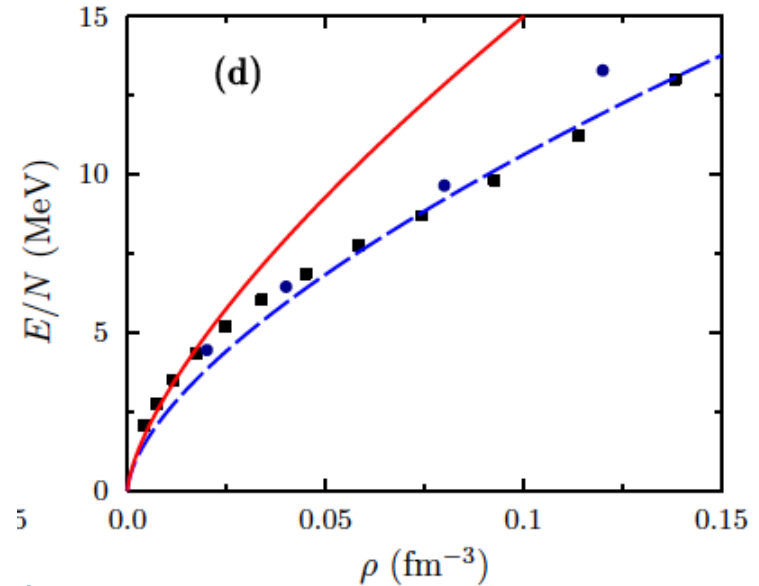
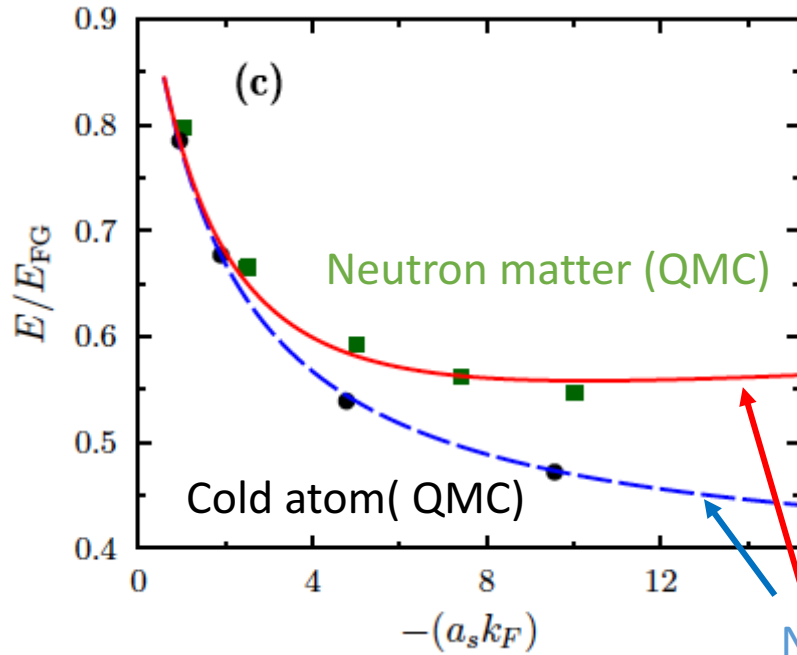
Forbes, Gandolfi, Gezerlis, PRA86 (2012)

$$\begin{cases} U_0 = (1 - \xi_0) = 0.62400, \\ U_1 = \frac{9\pi}{10}(1 - \xi_0) = 1.76432, \\ R_0 = \eta_e = 0.12700, \\ R_1 = \sqrt{\frac{6\pi\eta_e}{(\nu-1)}} = 1.54722, \\ R_2 = -\delta_e/\eta_e = 0.43307. \end{cases}$$

$$\begin{cases} \xi_0 = 0.376, \\ \eta_e = 0.127 \\ \delta_e = -0.055 \end{cases}$$

# EDF with no-free parameters: Predictive power for neutron matter

Lacroix, Boulet, Grasso, Yang, PRC 95 (2017)



[QMC: Gezerlis, Carlson, PRC81 (2010)]

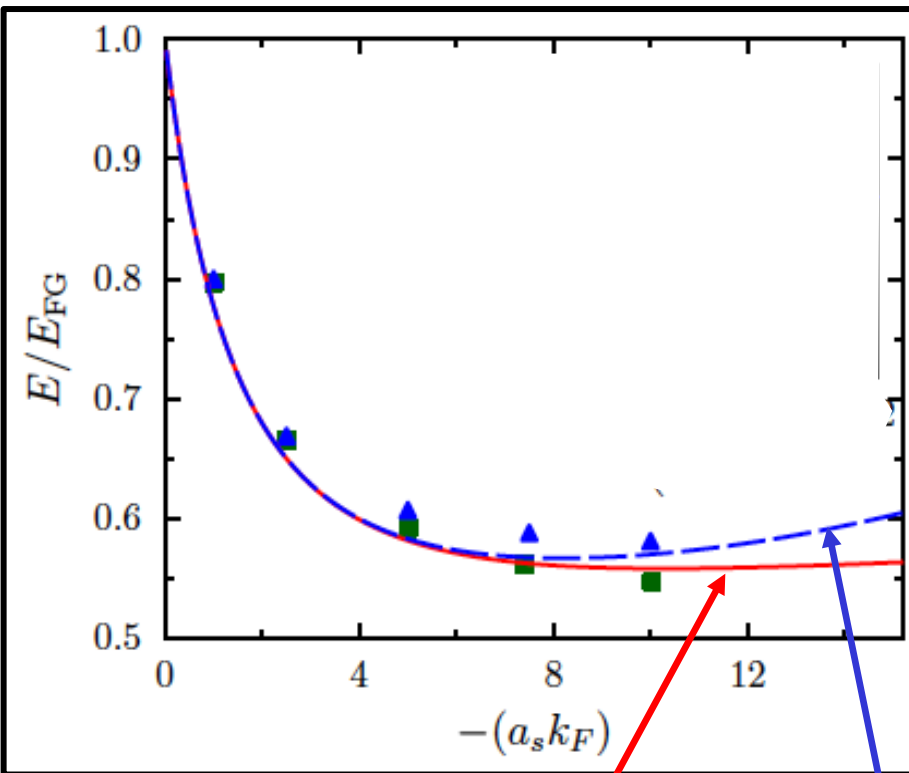
Range of validity

Lee-Yang  $\rho < 10^{-6} \text{ fm}^{-3}$

New DFT  $\rho < 0.01 \text{ fm}^{-3}$

# Including the p-wave ?

Lacroix, Boulet, Grasso, Yang, PRC 95 (2017)



no p-wave

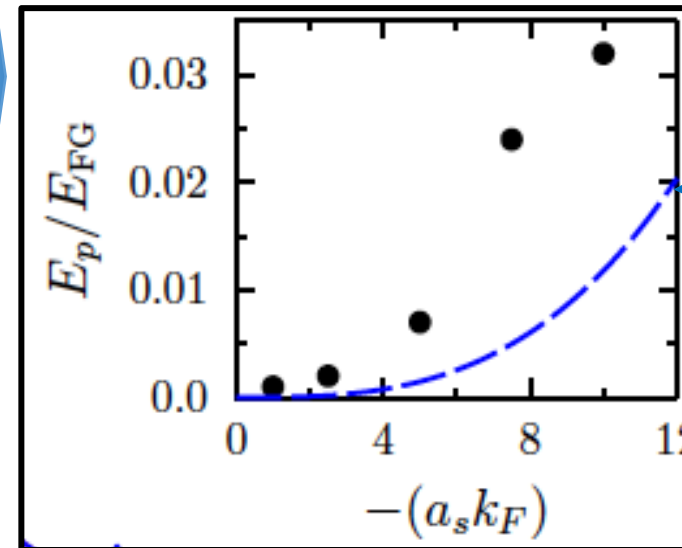
With p-wave (LO only)

$$(\nu + 1) \frac{1}{3\pi} (k_F a_p)^3$$

Remember

$$a_p \ll r_e \ll a_s$$

$$a_p^3 = 0.2 - 0.6 \text{ fm}^3$$



$a_p^3 = 0.2$   
(AV4 interaction)

# Can we make contact with Skyrme like empirical functional ?

Lacroix, Boulet, Grasso Yang, PRC 95 (2017)

Starting point

$$\frac{E}{E_{\text{FG}}} = 1 - \frac{U_0}{1 - (a_s k_F)^{-1} U_1} + \frac{R_0(r_e k_F)}{[1 - R_1(a_s k_F)^{-1}][1 - R_1(a_s k_F)^{-1} + R_2(r_e k_F)]}$$

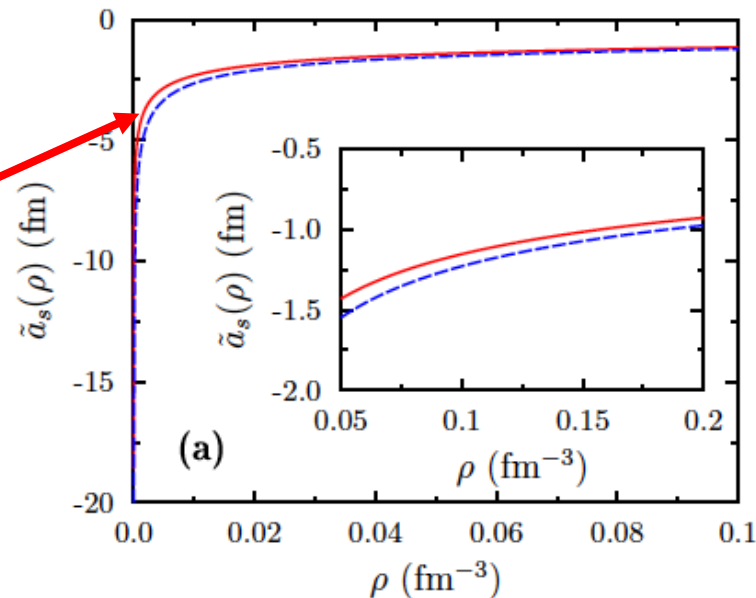
Rewrite it as

$$\frac{E}{E_{\text{FG}}} = 1 + \frac{k_F^3}{4\pi^2 E_{\text{FG}}} \left\{ \frac{\tilde{C}_0(k_F)}{3} + \frac{k_F^2}{10} [(\nu - 1)\tilde{C}_2(k_F) + (\nu + 1)\tilde{C}'_2(k_F)] \right\}$$

Define density dependent scattering length and range

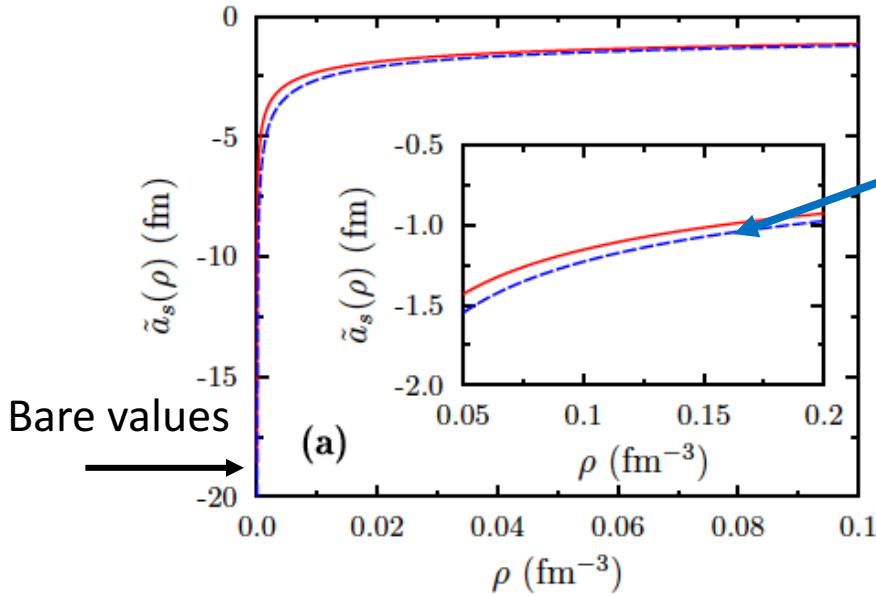
$$\tilde{C}_0(k_F) = \frac{4\pi\hbar^2}{m} \tilde{a}_s(k_F)$$

$$\tilde{C}_2(k_F) = \frac{2\pi\hbar^2}{m} \tilde{r}_e(k_F) \tilde{a}_s^2(k_F)$$



# Can we make contact with empirical functional ?

Lacroix, Boulet, Grasso, Yang, PRC 95 (2017)



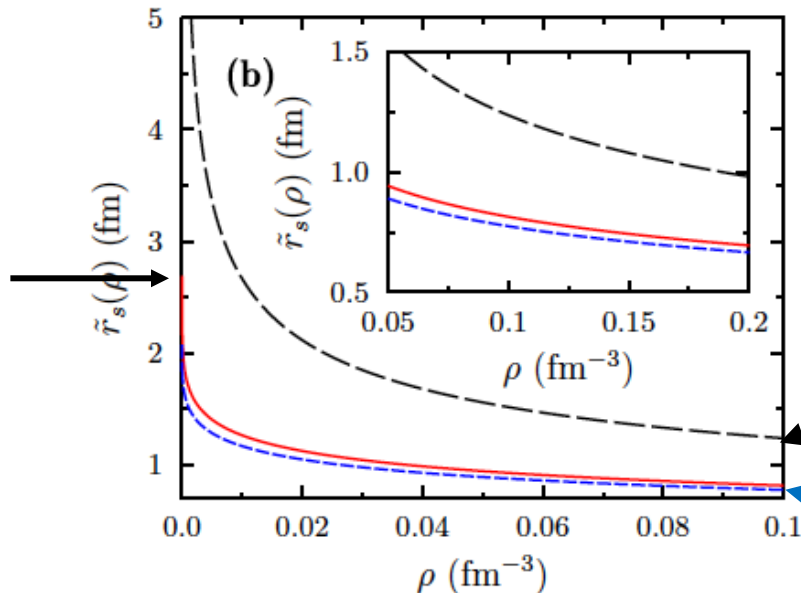
$$\tilde{a}_s(k_F) \simeq -\frac{9\pi}{10k_F}(1 - \xi_0)$$

➔ Fast evolution at low density followed by a slower evolution around saturation density

➔ Around normal density,  $a_s$  dominated by the unitary constraint

➔ At normal density,  $a_s$  is washed out

➔ Finite  $r_s$  plays a non-negligible role

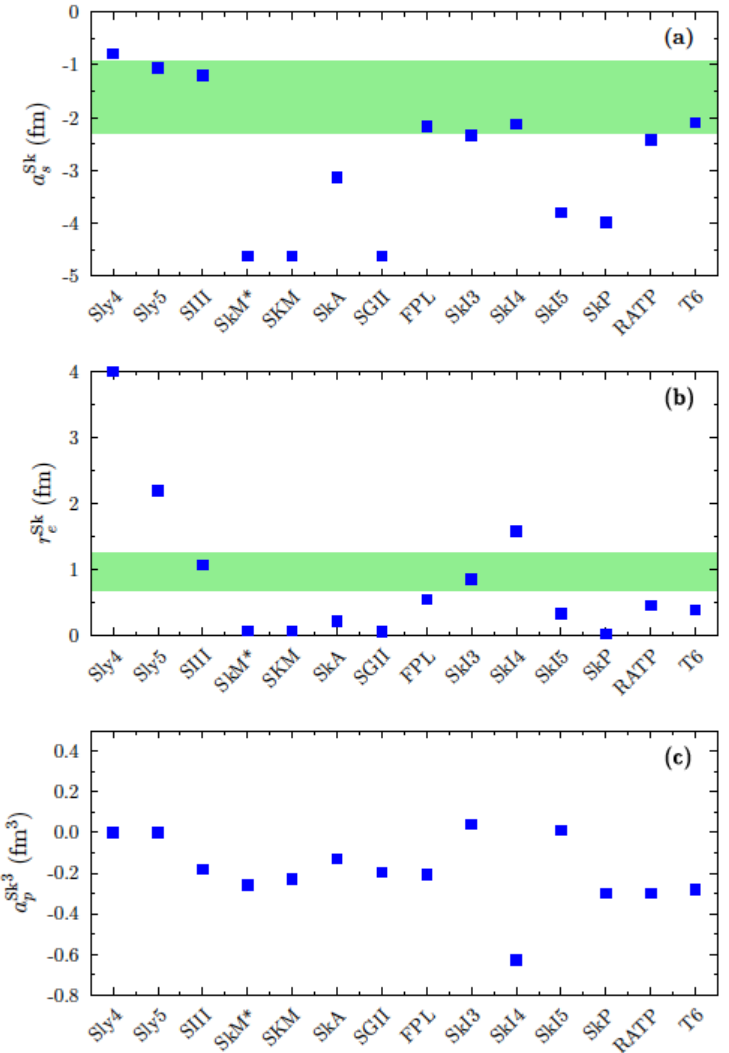
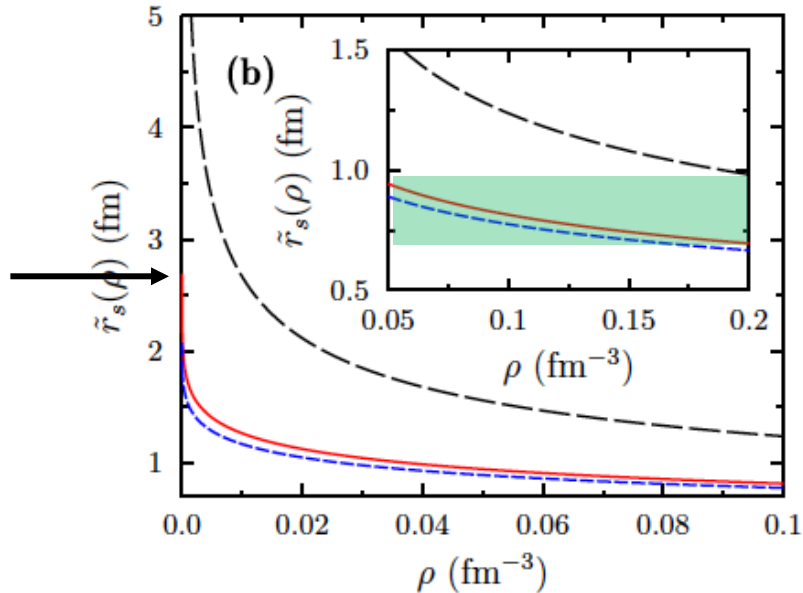
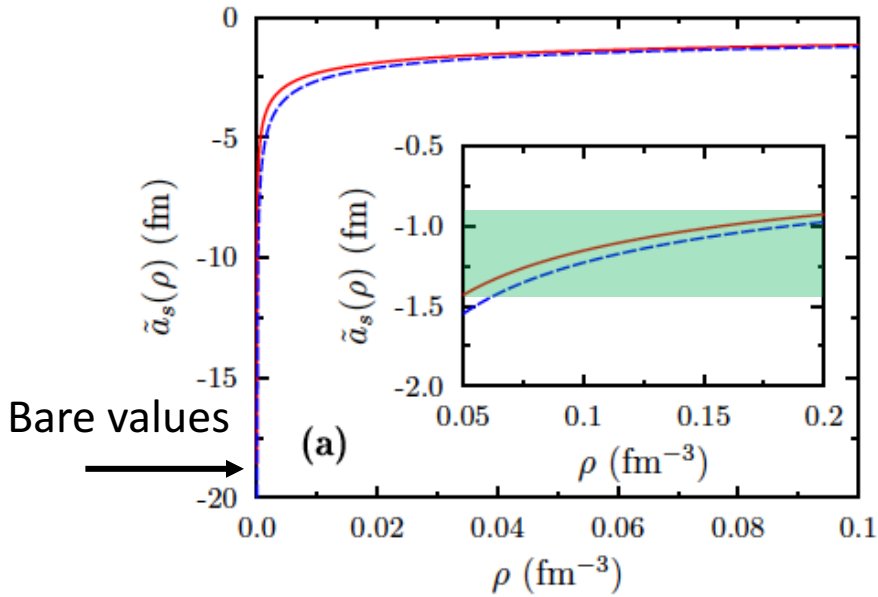


$$\tilde{r}_e(k_F) \simeq \frac{200}{27(\nu - 1)} \frac{\eta_e^2}{(1 - \xi_0)^2 \delta_e k_F}$$

$$\tilde{r}_e(k_F) \simeq \frac{200}{27(\nu - 1)} \frac{\eta_e}{(1 - \xi_0)^2} \frac{r_e}{[1 + \delta_e(r_e k_F)/\eta_e]}$$

# Can we make contact with empirical functional ?

Lacroix, Boulet, Grasso, Yang, PRC 95 (2017)



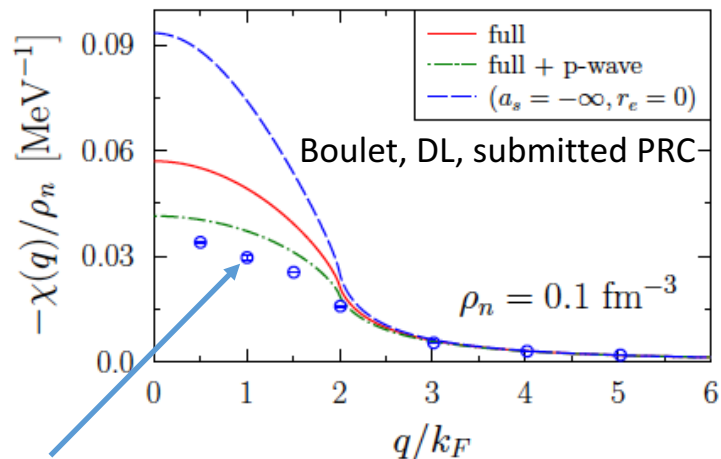
➡ Gives an empirical explanation of the Skyrme success

## Conclusions

- ➔ We propose a new way design the nuclear (cold atom) DFT to parameters of the interaction
  - Low energy constants becomes the only “non-freely” adjustable parameters
  - Validity  $\rho < 0.01 \text{ fm}^{-3}$
- ➔ The new DFT reproduces ab-initio results in cold atoms and neutron matter
- ➔ Transition from s-wave driven (low density) to unitary gas driven (Bertsch parameter) regime
- ➔ Explain in some ways why Skyrme works so well

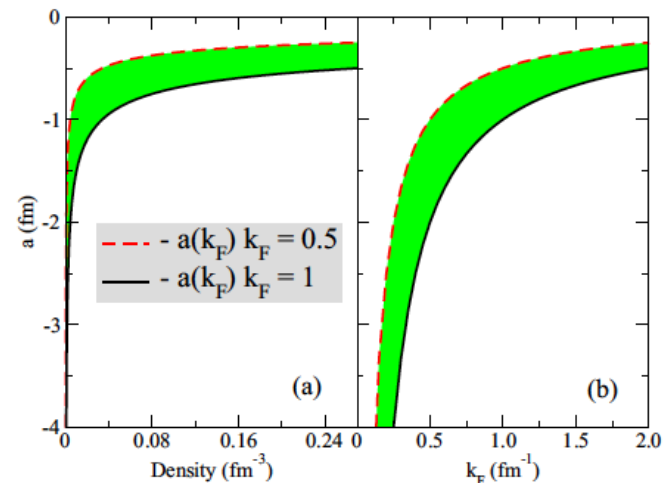
## Applications and on-going work

Static response in neutron matter



AFDMC: Buraczynski, Gezerlis, PRL 116 (2016)]

Extension to symmetric matter: Lee-Yang with density dependent LEC



Grasso, DL, Yang, PRC 95 (2017)