

Dipole Polarizability and the neutron skin thickness

Xavier Roca-Maza
Università degli Studi di Milano and INFN
Quantum Physics and Astrophysics Department
University of Barcelona
June 14th, 2018

Table of contents:

- ▶ **Introduction:**
 - ▶ Energy Density Functionals (EDFs)
 - ▶ Dipole polarizability
- ▶ **Statistic uncertainties in EDFs**
 - ▶ Example on the dipole polarizability
- ▶ **Systematic uncertainties in EDFs**
 - ▶ Example on the dipole polarizability
- ▶ **Conclusions**

INTRODUCTION

The Nuclear Many-Body Problem:

- ▶ **Nucleus:** from few to more than 200 strongly interacting and **self-bound fermions**.
- ▶ **Underlying interaction** is **not perturbative** at the (low)energies of interest for the study of masses, radii, deformation, giant resonances,...
- ▶ **Complex systems:** **spin, isospin, pairing, deformation, ...**
- ▶ **Many-body** calculations based on **NN scattering data** in the vacuum are **not conclusive** yet:
 - ▶ **different predictions for many-body observables** are found **depending** on the **approach**
 - ▶ **EoS** and (only very recently) **few groups** in the world are able to perform extensive calculations for **light and medium mass nuclei**
- ▶ Based on effective interactions, **Nuclear Energy Density Functionals** are **successful** in the description of **masses, nuclear sizes, deformations, Giant Resonances,...**

Nuclear Energy Density Functionals (EDFs):

Based on effective interactions solved at the HF level, EDFs are successful in the description of ground and excited state properties such as m , $\langle r^2 \rangle^{1/2}$ or GR along the nuclear chart

Main types of EDFs:

Relativistic mean-field models (RMF), based on Lagrangians where effective mesons carry the interaction:

$$\begin{aligned} \mathcal{L}_{\text{int}} = & \bar{\Psi}\Gamma_{\sigma}(\bar{\Psi}, \Psi)\Psi\Phi_{\sigma} & + \bar{\Psi}\Gamma_{\delta}(\bar{\Psi}, \Psi)\tau\Psi\Phi_{\delta} \\ & - \bar{\Psi}\Gamma_{\omega}(\bar{\Psi}, \Psi)\gamma_{\mu}\Psi A^{(\omega)\mu} & - \bar{\Psi}\Gamma_{\rho}(\bar{\Psi}, \Psi)\gamma_{\mu}\tau\Psi A^{(\rho)\mu} \end{aligned}$$

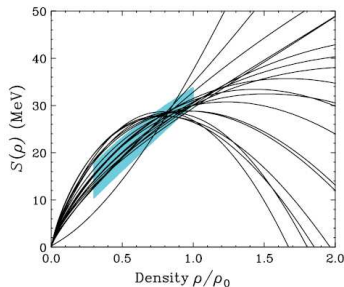
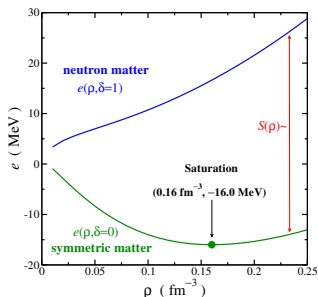
Non-relativistic mean-field models (NRMF), based on Hamiltonians where effective interactions are proposed and tested:

$$V_{\text{Nucl}}^{\text{eff}} = V_{\text{attractive}}^{\text{long-range}} + V_{\text{repulsive}}^{\text{short-range}} + V_{\text{SO}} + \dots$$

-EDFs are **phenomenological** → **not directly connected to any NN (or NNN) interaction** in the vacuum

-EDFs derived from a **Mean-Field** → we expect bulk properties more accurate as heavier is the nucleus

The Nuclear Equation of State: Infinite System

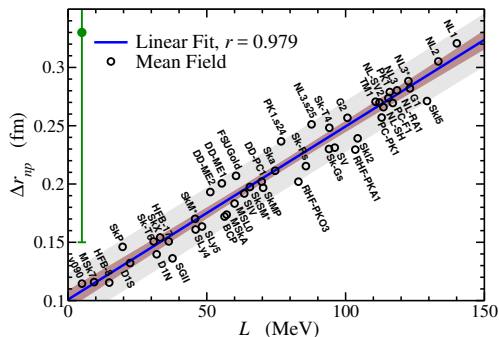


$$e(\rho, \beta) = e(\rho, \beta = 0) + S(\rho)\beta^2 + \mathcal{O}(\beta^4) \quad \text{where } \beta \equiv \frac{\rho_n - \rho_p}{\rho}$$

- **Isovector properties** not well determined in current **EDFs**
- **Parity violating program** (JLab/Mainz), **full E1 response** in stable and exotic nuclei (RCNP/GSI) and measurements on exotic nuclei in **Rare Ion Beam Facilities** worldwide \rightarrow **better characterization of isovector properties around saturation density**

But how we can better constraint the isovector channel from observables? (Example)

Neutron skin thickness → is one of the most paradigmatic example of an **isovector sensitive observable**.



$$\Delta r_{np} \equiv \langle r_n^2 \rangle^{1/2} - \langle r_p^2 \rangle^{1/2} \sim \frac{1}{12} \frac{IR}{J} L$$

$$\text{where } J \equiv S(\rho_0) \text{ and } L \equiv 3\rho_0 \left. \frac{\partial S}{\partial \rho} \right|_{\rho_0} = 3\rho_0 p_0^{\text{neut}}$$

THE DIPOLE POLARIZABILITY

Dipole polarizability: definition

From a macroscopic perspective

The electric **polarizability** measures the tendency of the nuclear **charge distribution to be distorted**

$$\alpha_D \sim \frac{\text{electric dipole moment}}{\text{external electric field}}$$

From a microscopic perspective

The electric **polarizability** is proportional to the **inverse energy weighted sum rule (IEWSR)** of the electric dipole response in nuclei

$$\alpha_D = \frac{8\pi}{9} e^2 \sum \frac{B(E1)}{E}$$

or

$$\alpha_D = \frac{\hbar c}{2\pi^2} \int \frac{\sigma_{\text{ph. abs.}}(E)}{E^2} dE$$

In more detail (from theory) ...

- ▶ The **linear response** or dynamic polarizability of a **nuclear system excited** from its g.s., $|0\rangle$, to an excited state, $|\nu\rangle$, due to the **action of an external isovector oscillating field** (dipolar in our case) of the form $(F e^{i\omega t} + F^\dagger e^{-i\omega t})$:

$$F_{JM} = \sum_i^A r^J Y_{JM}(\hat{r}) \tau_z(i) \quad (\Delta L = 1 \rightarrow \text{Dipole})$$

- ▶ is proportional to the **static polarizability** for small oscillations

$$\alpha = (8\pi/9)e^2 m_{-1} = (8\pi/9)e^2 \sum_\nu |\langle \nu | F | 0 \rangle|^2 / E \quad \text{where } m_{-1} \text{ is the}$$

inverse energy weighted moment of the **strength function**

The **dielectric theorem** establishes that the m_{-1} moment can be computed from the **expectation value of the Hamiltonian in the constrained ground state** $\mathcal{H}' = \mathcal{H} + \lambda \mathcal{D}$.

STATISTIC UNCERTAINTIES AND CORRELATIONS IN EDFs

Example on the dipole polarizability

Covariance analysis: χ^2 test

- ▶ Observables \mathcal{O} used to calibrate the parameters \mathbf{p} (e.g. of an EDF)

$$\chi^2(\mathbf{p}) = \frac{1}{m - n_p - 1} \sum_{i=1}^m \left(\frac{\mathcal{O}_i^{\text{theo.}} - \mathcal{O}_i^{\text{ref.}}}{\Delta \mathcal{O}_i^{\text{ref.}}} \right)^2$$

- ▶ Assuming that the χ^2 can be approximated by an hyper-parabola around the minimum \mathbf{p}_0 ,

$$\chi^2(\mathbf{p}) - \chi^2(\mathbf{p}_0) \approx \frac{1}{2} \sum_{i,j} (p_i - p_{0i}) \partial_{p_i} \partial_{p_j} \chi^2(p_j - p_{0j})$$

where $\mathcal{M} \equiv \frac{1}{2} \partial_{p_i} \partial_{p_j} \chi^2$ (curvature m.) and $\mathcal{E} \equiv \mathcal{M}^{-1}$ (error m.).

- ▶ errors between predicted observables \mathcal{A}

$$\Delta \mathcal{A} = \sqrt{\sum_i^n \partial_{p_i} \mathcal{A} \mathcal{E}_{ii} \partial_{p_i} \mathcal{A}}$$

- ▶ correlations between predicted observables,

$$c_{AB} \equiv \frac{C_{AB}}{\sqrt{C_{AA} C_{BB}}}$$

where, $C_{AB} = \overline{(A(\mathbf{p}) - \bar{A})(B(\mathbf{p}) - \bar{B})} \approx \sum_{i,j} \partial_{p_i} \mathcal{A} \mathcal{E}_{ij} \partial_{p_j} \mathcal{B}$

Example: Fitting protocol of SLy5-min (NON-Rel) and DD-ME-min1 (Rel)

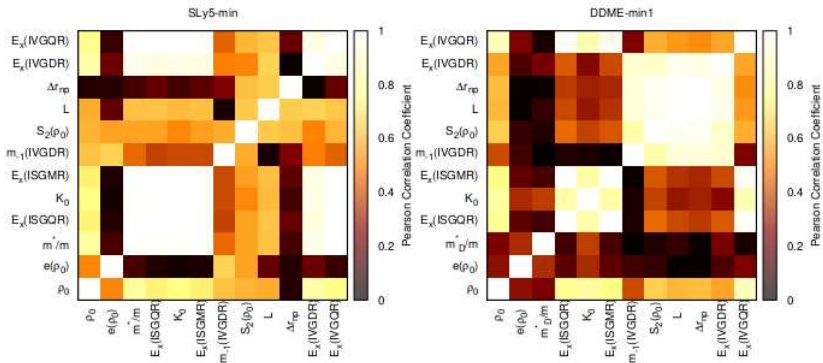
SLy5-min:

- ▶ **Binding energies** of $^{40,48}\text{Ca}$, ^{56}Ni , $^{130,132}\text{Sn}$ and ^{208}Pb with a fixed adopted error of 2 MeV
- ▶ the **charge radius** of $^{40,48}\text{Ca}$, ^{56}Ni and ^{208}Pb with a fixed adopted error of 0.02 fm
- ▶ the **neutron matter** Equation of State calculated by Wiringa *et al.* (1988) for densities between 0.07 and 0.40 fm^{-3} with an adopted error of 10%
- ▶ the **saturation energy** ($e(\rho_0) = -16.0 \pm 0.2 \text{ MeV}$) and **density** ($\rho_0 = 0.160 \pm 0.005 \text{ fm}^{-3}$) of **symmetric nuclear matter**.

DD-ME-min1:

- ▶ **binding energies, charge radii, diffraction radii and surface thicknesses** of 17 even-even spherical nuclei, ^{16}O , $^{40,48}\text{Ca}$, $^{56,58}\text{Ni}$, ^{88}Sr , ^{90}Zr , $^{100,112,120,124,132}\text{Sn}$, ^{136}Xe , ^{144}Sm and $^{202,208,214}\text{Pb}$. The assumed errors of these observables are 0.2%, 0.5%, 0.5%, and 1.5%, respectively.

Covariance analysis: SLy5-min (NON-Rel) and DD-ME-min1 (Rel)



J. Phys. G: Nucl. Part. Phys. 42 034033 (2015).

The **neutron skin** is **correlated** with **L** in both models but **NOT** with α_D . **(I will come back on that latter)**

Covariance analysis: SLy5-min (NON-Rel) and DD-ME-min1 (Rel)

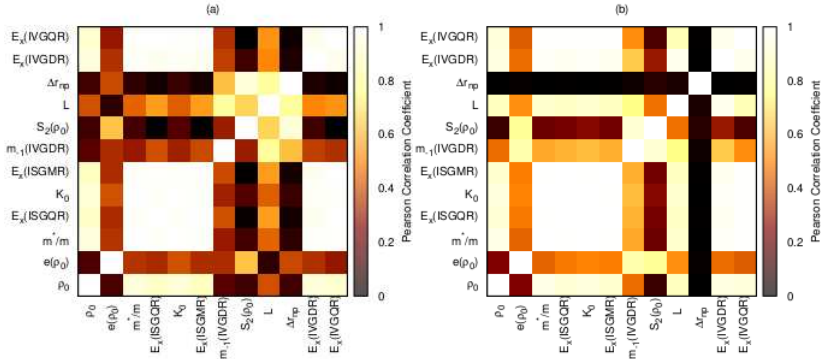
A	SLy5-min		DDME-min1		units	
	A_0	$\sigma(A_0)$	A_0	$\sigma(A_0)$		
SNM						
ρ_0	0.162	\pm 0.002	0.150	\pm 0.001	fm^{-3}	
$e(\rho_0)$	-16.02	\pm 0.06	-16.18	\pm 0.03	MeV	
m^*/m	0.698	\pm 0.070	0.573	\pm 0.008		
J	32.60	\pm 0.71	33.0	\pm 1.7	MeV	
K_0	230.5	\pm 9.0	261	\pm 23	MeV	
L	47.5	\pm 4.5	55	\pm 16	MeV	
^{208}Pb						
E_x^{ISGMR}	14.00	\pm 0.36	13.87	\pm 0.49	MeV	
E_x^{ISGQR}	12.58	\pm 0.62	12.01	\pm 1.76	MeV	
Δr_{np}	0.1655	\pm 0.0069	0.20	\pm 0.03	fm	
E_x^{IVGDR}	13.9	\pm 1.8	14.64	\pm 0.38	MeV	
m_{-1}^{IVGDR}	4.85	\pm 0.11	5.18	\pm 0.28	$\text{MeV}^{-1} \text{fm}^2$	
E_x^{IVGQR}	21.6	\pm 2.6	25.19	\pm 2.05	MeV	

Statistical uncertainties depend on the fitting protocol, that is on the **data (or pseudo-data) and associated errors used for the fits: Let us see an example...**

Covariance analysis: modifying the χ^2

→ **SLy5-a:** χ^2 as in SLy5-min except for the neutron EoS (relaxed the required accuracy = increasing associated error).

→ **SLy5-b:** χ^2 as in SLy5-min except the neutron EoS (not employed) and used instead a tight constraint on the Δr_{np} in ^{208}Pb



J. Phys. G: Nucl. Part. Phys. 42 034033 (2015).

- ▶ When a **constraint** on a property is **relaxed**, **correlations** of other observables with such a property should become **larger** → **SLy5-a: α_D is now better correlated with Δr_{np}**
- ▶ When a **constraint** on a property is **enhanced**—artificially or by an accurate experimental measurement—**correlations** of other observables with such a property should become **small** → **SLy5-b: Δr_{np} is not correlated with any other observable**

SYSTEMATIC UNCERTAINTIES AND CORRELATIONS IN EDFs

Example on the dipole polarizability

Dipole polarizability: macroscopic approach



The **dielectric theorem** establishes that the m_{-1} moment can be computed from the **expectation value of the Hamiltonian in the constrained ground state** $\mathcal{H}' = \mathcal{H} + \lambda \mathcal{D}$.

Adopting the Droplet Model ($m_{-1} \propto \alpha_D$):

$$m_{-1} \approx \frac{A \langle r^2 \rangle^{1/2}}{48J} \left(1 + \frac{15}{4} \frac{J}{Q} A^{-1/3} \right)$$

within the same model, connection with the neutron skin thickness:

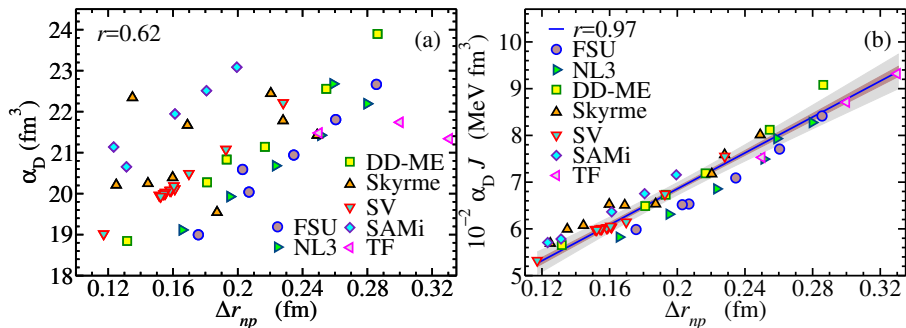
$$\alpha_D \approx \frac{A \langle r^2 \rangle}{12J} \left[1 + \frac{5}{2} \frac{\Delta r_{np} + \sqrt{\frac{3}{5}} \frac{e^2 Z}{70J} - \Delta r_{np}^{\text{surface}}}{\langle r^2 \rangle^{1/2} (I - I_C)} \right]$$

Is this correlation appearing also in EDFs?

Isvector Giant Dipole Resonance in ^{208}Pb :



Dipole polarizability: microscopic results HF+RPA



X. Roca-Maza, *et al.*, Phys. Rev. C 88, 024316 (2013).

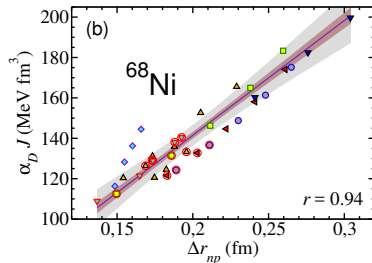
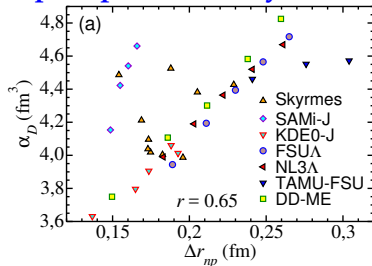
$\alpha_D J$ is linearly correlated with Δr_{np} and no α_D alone within EDFs

Isvector Giant Dipole Resonance in ^{68}Ni :



What about other nuclei?

Dipole polarizability: microscopic results HF+RPA

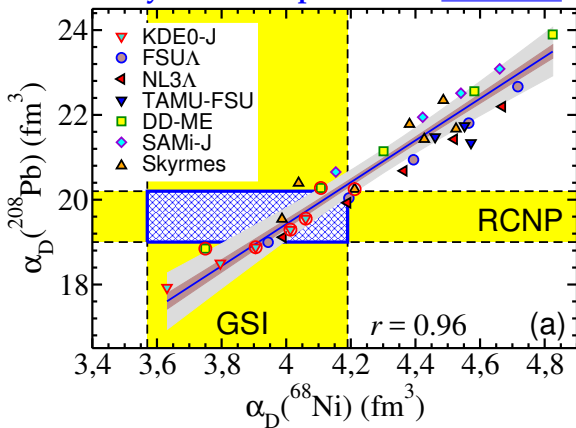


X. Roca-Maza *et al.* *Phys. Rev. C* **92**, 064304 (2015)

Experimental dipole polarizability $\alpha_D = 3.40 \pm 0.23 \text{ fm}^3$ D. M. Rossi *et al.*, PRL 111, 242503 (GSI). $\alpha_D = 3.88 \pm 0.31 \text{ fm}^3$ “full” response D. M. Rossi, T. Aumann, and K. Boretzky.



Dipole polarizability: microscopic results HF+RPA

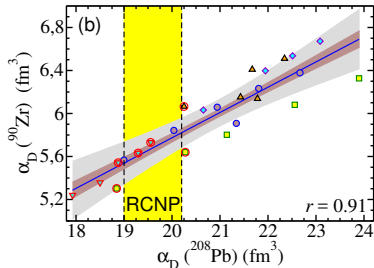
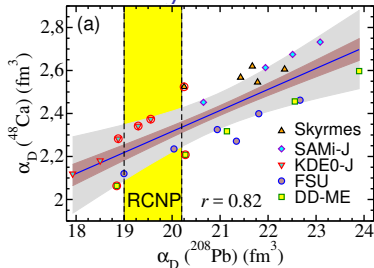


X. Roca-Maza et al. *Phys. Rev. C* **92**, 064304 (2015)

Just as an indication DM would predict:

$$\alpha_D(A = 208)/\alpha_D(A = 68) \sim (208/68)^{5/3}$$

Can we use this correlation to predict the polarizability in other nuclei?



X. Roca-Maza et al. *Phys. Rev. C* **92**, 064304 (2015)

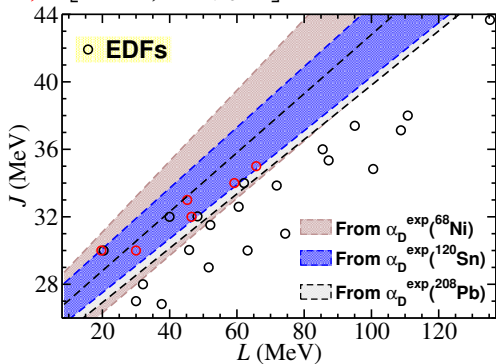
Nucleus	Δr_{np} (fm)	α_D (fm ³)
⁴⁸ Ca	0.15–0.18 (0.16 ± 0.01)	2.06–2.52 (2.30 ± 0.14)
⁹⁰ Zr	0.058–0.077 (0.067 ± 0.008)	5.30–6.06 (5.65 ± 0.23)

Table: Estimates for the neutron skin thickness and electric dipole polarizability of ⁴⁸Ca and ⁹⁰Zr from models that predict α_{exp} in ⁶⁸Ni, ¹²⁰Sn and ²⁰⁸Pb.

Constraints of this analysis on the $J - L$ plane



$$\alpha_D \approx \frac{A \langle r^2 \rangle}{12J} \left[1 + \frac{5L}{3J} \frac{\rho_0 - \rho_A}{3\rho_0} \right] \text{ where } S(\rho_A) \equiv a_{\text{sym}}(A)$$



X. Roca-Maza et al. *Phys. Rev. C* **92**, 064304 (2015)

$$J = (24.9 \pm 2.0) + (0.19 \pm 0.02)L \text{ for } ^{68}\text{Ni}$$

$$J = (25.4 \pm 1.1) + (0.17 \pm 0.01)L \text{ for } ^{120}\text{Sn}$$

$$J = (24.5 \pm 0.8) + (0.168 \pm 0.007)L \text{ for } ^{208}\text{Pb}$$

$$\text{For } S(\langle \rho \rangle \rightarrow \rho_0) \approx J - L \frac{(\rho_0 - \langle \rho \rangle)}{3\rho_0}$$

CONCLUSIONS

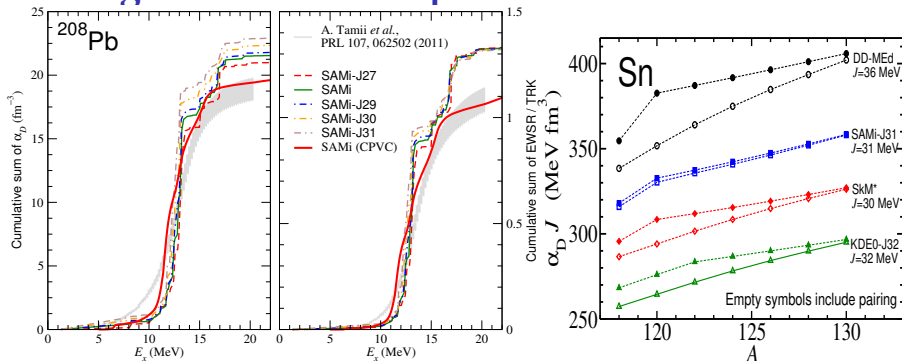
Conclusions:

- We have **studied** theoretically **how sensitive is the isovector channel** of the interaction to a **measurement** of the **dipole polarizability** in a heavy nucleus such as ^{208}Pb .
- we have proposed a **physically meaningful correlation** between the polarizability and the properties of the effective interaction: $\alpha_{\text{D}J}$ vs Δr_{np} and not α_{D} alone.
- Our **results** for ^{208}Pb can be **extended to other nuclei** such as the exotic ^{68}Ni .
- Within our approach, we have **derived three bands in the J – L plane** consistent with the recent measurements of the polarizability in ^{68}Ni , ^{120}Sn and ^{208}Pb
- The **slope shown by the derived bands** in the J – L is **not strictly followed by the models** used for the analysis
- Subset of models that reproduce simultaneously the measured polarizabilities are employed to predict **$J = 30 - 35 \text{ MeV}$, $L = 20 - 66 \text{ MeV}$; and the values for Δr_{np} in ^{68}Ni , ^{120}Sn , and ^{208}Pb are in the ranges: $0.15\text{-}0.19 \text{ fm}$, $0.12\text{-}0.16 \text{ fm}$, and $0.13\text{-}0.19 \text{ fm}$**

thank you!

EXTRA MATERIAL

Warnings: RPA versus experiment



- Important to take into account the **full energy range to compare with RPA** results. (we expect RPA to be quantitative for excitation energy and sum rules but not in details of the response function)

- **RPA do not reproduce the resonance width**, maximum possible

$$\text{error: } \Delta\alpha_D \lesssim -\alpha_D \frac{\Gamma^2}{4E_x^2} < 2\% \text{ in } ^{208}\text{Pb}$$

- Including **pairing correlations for Sn**: Δr_{np} and α_D tend to be smaller by few % (0-8% in ^{120}Sn and studied models).

- **quasi-deuteron contributions** should be subtracted from exp.

Δr_{np} in ^{208}Pb :

