Weak Interaction Processes with Relativistic Energy Density Functionals

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Accurate description of nuclear properties and processes is essential for understanding the evolution of stars and nucleosynthesis (supernovae, neutron star mergers).

Astrophysically relevant nuclear processes, e.g.,

- electron capture
- beta decay
- neutrino-nucleus reactions
- neutron capture
- photodissociation
- fission
- …

Final understanding of how supernova explosions and nucleosynthesis work, with consistent microscopic description of all relevant nuclear physics included, has not been achieved yet.

Theoretical uncertainties in nuclear properties are mainly unknown.
• Density dependent vertex functions

• The model parameters are constrained directly by many-body observables (masses, charge radii, pseudo-data, …)

• Complicated many body dynamics encoded in the functional and its empirical constants

• DIRHB -- a relativistic self-consistent mean-field framework for atomic nuclei

• In the small amplitude limit, self-consistent relativistic quasiparticle random phase approximation (RQRPA) is used to describe excitations

RELATIVISTIC NUCLEAR ENERGY DENSITY FUNCTIONAL

i) Nucleons are Dirac particles coupled by the exchange mesons and the photon field

ii) Four-fermion contact interaction (Point-coupling model)
Important weak processes during the star collapse and explosion:

\[ p + e^- \leftrightarrow n + \nu_e , \]
\[ n + e^+ \leftrightarrow p + \bar{\nu}_e , \]
\[ (A, Z) + e^- \leftrightarrow (A, Z - 1) + \nu_e , \]
\[ (A, Z) + e^+ \leftrightarrow (A, Z + 1) + \bar{\nu}_e , \]
\[ \nu + N \leftrightarrow \nu + N , \]
\[ N + N \leftrightarrow N + N + \nu + \bar{\nu} , \]
\[ \nu + (A, Z) \leftrightarrow \nu + (A, Z) , \]
\[ \nu + e^\pm \leftrightarrow \nu + e^\pm , \]
\[ \nu + (A, Z) \leftrightarrow \nu + (A, Z)^* , \]
\[ e^+ + e^- \leftrightarrow \nu + \bar{\nu} , \]
\[ (A, Z)^* \leftrightarrow (A, Z) + \nu + \bar{\nu} . \]

H. A. Bethe, Rev. Mod. Phys. 62 801 (1990)
Gamow-Teller (GT) transitions calculated in two models:

- **RQRPA (DD-ME2)**
- **Shell model (GXPF1J)**

T. Suzuki et al.

- **Shell model** includes important correlations among nuclei, accurately reproduces the experimental GT strength. However, already in medium mass nuclei the model spaces become large, many nuclei and forbidden transitions remain beyond reach.

- **RQRPA** reproduces total GT strength and global properties of transition strength. It allows systematic calculations of high multipole excitations (forbidden transitions), possible extrapolations toward nuclei away from the valley of stability.
Charged-current neutrino-nucleus reactions

\[ \nu_l + Z \; X_N \rightarrow Z+1 \; X_{N-1}^* + \ell^- \]
\[ \bar{\nu}_l + Z \; X_N \rightarrow Z-1 \; X_{N+1}^* + \ell^+ \]

The ground state and excitation properties of nuclei govern the neutrino-nucleus cross sections.
Neutrino-nucleus cross sections

- Weak interaction Hamiltonian + EDF

\[ \hat{H}_W = -\frac{G}{\sqrt{2}} \int dx \mathcal{J}^\lambda(x) j_\lambda(x) \]

\[ \frac{d\sigma_\nu}{d\Omega} = \frac{2G_F^2 \cos^2 \theta_C}{\pi} \frac{E_i^2}{2J_i + 1} \]

\[ \times \left\{ \sum_{J \geq 1} \left[ 1 - (\mathbf{\tilde{v}} \cdot \mathbf{\tilde{q}})(\mathbf{\tilde{q}} \cdot \mathbf{\tilde{\beta}}) \right] \left| \langle J_f || \hat{T}_f^{MAG} || J_i \rangle \right|^2 + \left| \langle J_f || \hat{T}_f^{SL} || J_i \rangle \right|^2 \right\} \]

\[ + [\mathbf{\tilde{q}}(\mathbf{\tilde{v}} - \mathbf{\tilde{\beta}})] 2 \text{Re} \langle J_f || \hat{T}_f^{MAG} || J_i \rangle \langle J_f || \hat{T}_f^{SL} || J_i \rangle^* \]

\[ + \sum_{J \geq 0} \left\{ (1 + \mathbf{\tilde{v}} \cdot \mathbf{\tilde{\beta}}) \left| \langle J_f || \tilde{\mathcal{N}}_f || J_i \rangle \right|^2 \right\} \]

\[ + (1 - \mathbf{\tilde{v}} \cdot \mathbf{\tilde{\beta}} + 2(\mathbf{\tilde{v}} \cdot \mathbf{\tilde{q}})(\mathbf{\tilde{q}} \cdot \mathbf{\tilde{\beta}})) \left| \langle J_f || \hat{L}_f || J_i \rangle \right|^2 \]

\[ - [\mathbf{\tilde{q}}(\mathbf{\tilde{v}} + \mathbf{\tilde{\beta}})] 2 \text{Re} \langle J_f || \hat{L}_f || J_i \rangle \langle J_f || \tilde{\mathcal{N}}_f || J_i \rangle^* \left\} \right\} \]

Transition matrix elements are described in a self-consistent way using relativistic Hartree-Bogoliubov model for the initial (ground) state and relativistic quasiparticle random phase approximation for excited states (RHB+RQRPA)
- Cross sections averaged over neutrino flux

\[ \langle \sigma_{\nu} \rangle = \frac{\int dE_{\nu} \sigma_{\nu}(E_{\nu}) f(E_{\nu})}{\int dE_{\nu}' f(E_{\nu}')} \]

- Multipole decomposition of the neutrino-nucleus cross sections: RNEDF (DD-ME2) vs. shell model + RPA (SGII) (T. Suzuki et al.)
SUPERNOVA NEUTRINOS

\( \nu_e \) and \( \bar{\nu}_e \)

Solar neutrinos

Geoneutrinos

HERON, Brown University (USA)

D. Vale, T. Rauscher, N.P., JCAP02, 007 (2016)

The cross sections averaged over the neutrino spectrum from muon DAR.

- Exp. data available for $^{12}$C and $^{56}$Fe

The cross sections become considerably enhanced in neutron-rich nuclei, while those in neutron-deficient and proton-rich nuclei are small (blocking).
• Neutrino-nucleus cross sections for neutrinos from core collapse supernova simulation

• Supernova model based on general relativistic radiation hydrodynamics and three flavor Boltzmann neutrino transport (Fe core progenitor; 18 M_{solar}) (T. Fischer)

• Simulations show continuous decreasing of neutrino luminosities and average energies after the supernova explosion is launched (deleptonization of central protoneutron star)

• Implications for the flux-averaged cross sections at postbounce times t_{pb}=1s, 5s, 20s:

• The core of a massive star at the end of hydrostatic burning is stabilized by electron degeneracy pressure (as long as its mass does not exceed the Chandrasekhar limit)

• Electron capture reduces the number of electrons available for pressure support (in opposition to nuclear beta decay)

Electron capture on protons

\[ e^- + p \rightarrow n + \nu_e \]

Electron capture on nuclei

\[ e^- + Z \ X_N \rightarrow Z-1 \ X^*_{N+1} + \nu_e \]

• Electron capture on iron-group nuclei initiates the gravitational collapse of the core of a massive star, triggering a supernova explosion
• Initial supernova shock location and strength depend on amount of electron capture on nuclei (and protons) during stellar core collapse

• In the early stage of the collapse $\rho \leq 10^{10} g cm^{-3}$ electron chemical potential is of the order of the nuclear Q value, electron captures are sensitive to the details of Gamow-Teller GT$^+$ strength;

\[
T \approx 0.3 - 0.8 MeV \quad A < 65
\]

• Electron capture also occurs for higher densities and temperatures

\[
T \approx 1 MeV \quad A \geq 65
\]

• Shell model, Random Phase Approximation (RPA), QRPA, Hybrid model
• Hartree-Fock+RPA (Skyrme functionals)
• Relativistic mean field + relativistic RPA

K. Langanke et al., PRL 90, 241102 (2003); A.A. Dzhioev et al., PRC 81, 015804 (2010)
A. Juodagalvis et al., NPA 848, 454 (2010); N. P., G. Colò, et al., PRC 80, 055801 (2009)
• For $^{56}$Fe the electron capture is dominated by the GT+ transitions, while for neutron-rich nuclei ($^{76}$Ge) forbidden transitions play more prominent role.

Y. F. Niu, et al., PRC 83, 045807 (2011)
\[
\lambda_{ec} = \frac{1}{\pi^2 \hbar^3} \int_{E_e^0}^{\infty} p_e E_e \sigma_{ec}(E_e) f(E_e, \mu_e, T) dE_e
\]
Stellar electron capture rates for $^{54,56,58}$Fe:

RNEDF vs. Bruenn rates

FIG. 7: The ratio between the RNEDF and Bruenn [5] electron capture rates for $^{54,56,58}$Fe, shown as a function of $\rho Y_e$ for the range of temperatures $T=0.3, 0.6, ..., 2.4$ MeV.

N. P. et al. (2016).
Nuclear beta decay \[ Z X_N \rightarrow_{Z+1} X_{N-1} + e^- + \bar{\nu}_e \]
- relativistic QRPA calculations including forbidden transitions \( L=1 \) (T. Marketin et al.)
• Contributions from the first forbidden transitions to the beta decay rates
- Beta decay half-lives have a significant impact on the abundance pattern
- third peak is particularly sensitive to the changes
- general results for different conditions
CONCLUDING REMARKS

• consistent nuclear input for astrophysical simulations is still missing – at present time, various results from different models are combined together in supernova and nucleosynthesis simulations.

• pairing correlations are mainly neglected in finite temperature frameworks (RPA, RRPA, …) to describe weak interaction processes.

• deformation is necessary for complete description of weak interaction processes in some open shell nuclei.

• inclusion of complex-configurations in the (Q)RPA will provide detailed structure of transition strength necessary for more reliable description of weak interaction processes.